

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.1	TASK: Drinking Water Plan Review Review plans for new water production, treatment, storage and distributions facilities and appurtenances and modifications to existing facilities. Review plans for swimming pools. DELIVERABLES:		
PPG	1) Issue Approval to Construct or project denials on water projects.	T = 137 (PPG – 200)	Drinking Water NRO = 75 PHX = 125
	2) Issue Approval to Construct or project denials for swimming pools/spas.	T = As needed	Drinking Water NRO = 3 PHX = 25
PWSS Fed/ PWSS Fees	3) Drinking water evaluations of applications for Approval of Construction certificates for drinking water facilities (as needed).	T = 300	Drinking Water NRO = 109 SRO = 109 PHX = 82
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why. 1ST QTR: No activity for SRO on Del. #3. All other deliverables On-Target 2ND QTR: All deliverables are on target. 3RD QTR: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	12.00	44,081
GF (SRO)	11.00	46,972
PPG	46.00	169,402
PPG (SRO)	1.00	4,674
ST PWSS	11.00	50,928
PWSS Federal	33.00	138,644
PWSS Fees	9.00	29,711
WQFF (NRO)	3.00	14,472
TOTAL	126.00	498,884

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.2	<p>TASK: Drinking Water Compliance and Enforcement</p> <p>Conduct compliance inspections of drinking water systems. Investigate and resolve complaints relating to regulated facilities. Respond to drinking water emergencies.</p> <p>Evaluate, prepare and take informal and formal enforcement actions for violations of state drinking water program statutes and rules and for violations of delegated federal SDWA statutes and rules.</p> <p>DELIVERABLES:</p>		
PPG	<p>1) Drinking water sanitary surveys, prioritized by date of last survey.</p> <p>a) Annual inspections of all PWSs served by a surface water source.</p> <p>b) List of proposed surface water PWS inspections to EPA.</p> <p>c) Scheduled inspections of PWS served by a ground water source; inspections are on a four year cycle.</p> <p>d) List of proposed groundwater PWS inspections to EPA</p>	<p>T =</p> <p>a) 49</p> <p>b) 07/1/06</p> <p>c) 276</p> <p>d) 07/1/06</p>	<p>Compliance</p> <p>a)</p> <p>NRO = 19</p> <p>SRO = 17</p> <p>PHX = 13</p> <p>c)</p> <p>NRO = 126</p> <p>SRO = 70</p> <p>PHX = 80</p>
PPG	2) Drinking water follow-up inspections/site visits (as needed).	T = As needed	<p>Compliance</p> <p>NRO = As needed</p> <p>SRO = As needed</p> <p>PHX = As needed</p>
PPG	3) Drinking water joint and/or training inspections with delegated entities.	T = 12	<p>Compliance</p> <p>NRO = 4</p> <p>SRO = 4</p> <p>PHX = 4</p>
PPG	4) Drinking water system construction inspections (as needed).	T = As needed	<p>Drinking Water</p> <p>NRO = As needed</p> <p>SRO = As needed</p> <p>PHX = As needed</p>
PPG	5) Issue Notices of Opportunity to Correct (NOCs) and/or Notices of Violation (NOVs) and track schedules for operation and maintenance deficiencies (as needed).	T = As needed	<p>Compliance</p> <p>NRO = As needed</p> <p>SRO = As needed</p> <p>PHX = As needed</p>

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1.1.2	TASK: Drinking Water Compliance and Enforcement (Cont'd) DELIVERABLES:		
PWSS Fees	6) Drinking water operations and maintenance complaint investigations.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
	7) Swimming pool construction inspections (as needed).	T = As needed	Drinking Water NRO = As needed SRO = As needed PHX = As needed
PWSS Fees	8) Provide site-specific operations and maintenance compliance assistance.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
PPG	9) Issue Notices of Violation and track schedules for monitoring, reporting, and MCL-related violations.	T = As needed	Compliance
PPG	10) Issue Administrative Orders and track schedules, as needed.	T = As needed	Compliance
PPG	11) Referrals to the Attorney Generals Office, as needed.	T = As needed	Compliance
PPG	12) Evaluate and respond to EPA's Significant Noncompliance/Exception List. a) Address 100% of SNCs/exception systems in a timely and appropriate manner. i) Discuss EPA/State workshare for addressing remaining SNCs/exceptions (within 45 days of confirmed SNC/exception). ii) Discuss type and timeframe for action to be taken for each case (within 90 days of confirmed SNC/exception). iii) Revise targets for enforcement actions as needed: (1) Issue NOV's and track schedules for monitoring, reporting and MCL-related violations, as needed. (2) Issue Administrative Orders and track schedules as needed. (3) Referrals to the Attorney General's Office as needed.	T = Quarterly	Compliance

FY07 3rd QUARTER EXCEPTION REPORT

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.2	TASK: Drinking Water Compliance and Enforcement (Cont'd) DELIVERABLES:		
PPG	13) Close enforcement actions, as needed.	T = As needed	Compliance
PPG	14) Hold regular meetings and/or conference calls with EPA to discuss drinking water enforcement. Document agreement on discussed items and follow up actions. At least one call each quarter will be devoted to discussing the SNC list and the details of actions taken on each SNC. The dates for the quarterly calls devoted to SNCs are scheduled as follows to coincide with the SNC/Exception Processing Cycle: -September 2006 -December 2006 -March 2007 -June 2007	T = 12 (monthly)	Compliance
PPG	15) Written Monthly Enforcement Report to EPA which includes: a) number of Administrative Orders issued; b) number of Administrative Orders issued resulting in compliance; c) penalties (civil and administrative, assessed and collected); d) brief description of SEPs accepted; e) brief description of civil actions initiated and concluded every two quarters (twice per year); and f) Actions taken on any SNCs.	T = 12 (monthly)	Compliance
PPG	16) Track inspection and enforcement actions in ADEQ database – ICE.	T = As needed	Compliance NRO/SRO/PHX

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1.1.2	TASK: Drinking Water Compliance and Enforcement (Cont'd) DELIVERABLES:		
PPG	17) Administer delegated water programs and provide support as needed.	T = As needed	Compliance
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: Deliverable #1 is off target due to focus on surface water inspections in 3 rd and 4 th quarter. Deliverable #3 is off target due to limited delegated entities in the Northern Regional area, joint inspections are limited. All other deliverables are on target. 2ND QTR: Deliverable #1 is off target due to the weather; focus is on targeting surface water inspections during 3 rd and 4 th quarter, especially in the Northern Region. All other deliverables are on target. 3RD QTR: Deliverable #1 is off target with regard to surface water inspections in the northern portion of the state. However; it also appears that the actual number of surface water PWS' is less than the target number. ADEQ has met the annual target for groundwater PWS inspections. All other deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	4.00	18,242
GF (NRO)	62.60	248,146
GF (SRO)	37.36	146,676
G/F DW ST PPG	12.00	51,420
ST PPG	17.00	71,502
ST PWSS IV	1.80	9,084
GF	37.36	146,676
PPG (SRO)	2.00	9,349
PPG	27.00	80,334
PWSS DWSRF Fees	24.00	76,776
TOTAL	225.12	858,205

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.3	TASK: Drinking Water Technical Assistance Monies provided by SRF set-a-sides will be used to pay third-party technical assistance providers for delivering technical assistance to individual PWSs, or groups of PWSs at ADEQ's direction, or developing tools that will benefit small water systems.* Develop a WIFA/DEQ Partnership to provide technical assistance. DELIVERABLES:		
	1) Finalize Intended Use Plan (IUP) for system level technical assistance in conjunction with WIFA.	T = Annually	Drinking Water
PPG	2) Oversee contractor performance in completing System Evaluations (SEs) for fundable range.	T = Ongoing	Drinking Water
	3) Identify system level TA from System Evaluations (SEs) completed.	T = 30 days after SE completion	Drinking Water
	4) Use fundable range identified in IUP for system level TA after completion of all Systems Evaluations (SEs).	T = 30 days after SE	Drinking Water
PPG	5) Oversee contractor performance of system level TA for fundable range.	T = Ongoing	Drinking Water
	6) Annual report to EPA in conjunction with WIFA	T = 9/01/06 A = 9/01/06	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. 2ND QTR: All deliverables are on target. 3RD QTR: Deliverables #3 & #4: Technical assistance was determined following the completion of the system evaluations conducted during calendar year 2006. Follow up work is currently out for bid following additional funding of \$100,000 by EPA/SIFA for the remainder of FY07.			

NOTE: Third party contract by WIFA - \$100,000. Implementation of Capacity Development Local Assistance.
WIFA will process invoices for system evaluation.

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG	.00	4,662

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.4	TASK: Operator Certification Section 1419 of the Safe Drinking Water Act requires states to adopt and implement a program for certification and recertification of the operators of community water systems (CWSs) and non-transient, non-community water systems (NTNCWSs) that meet the minimum standards set forth in guidelines to be published by EPA. DELIVERABLES:		
	1) Conduct audits of approved third party trainer/testers.	T = Quarterly	Drinking Water
	2) Review and verify professional development hours for certification renewals.	T = Ongoing	Drinking Water
	3) Issue certificates to persons passing testing requirements.	T = Ongoing, within 7 days of receipt from third party vendors	Drinking Water
	4) Process and resolve disputes between persons and third party testers.	T = As needed, within 30 days of receipt	Drinking Water
	5) Maintain registry of certified operators.	T = Ongoing	Drinking Water
	6) Partner with capacity development workshops to educate operators.	T = 15	Drinking Water
	7) Annual report to EPA in conjunction with WIFA	T = 9/01/06 A = 9/30/06	Drinking Water
	8) Approve third party training entities.	T = As needed	Drinking Water

FY07 3rd QUARTER EXCEPTION REPORT

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.4	TASK: Operator Certification (Cont'd) DELIVERABLES:		
PWSS Fees/ PWSS Fed	9) Implement Operator Certification Expense Reimbursement Grant (ERG) Program Workplan in conjunction with WIFA.	T = Ongoing	Drinking Water
	10) Maintain list of eligible operators for reimbursement to allow WIFA to provide funds.	T = Ongoing	Drinking Water
PWSS Fees/ PWSS Fed	11) Operator training reimbursement program. a) Number of operators eligible for reimbursement as of 7/1/06. b) Number of operators reimbursed for training and certification to date by certification level and grade c) Number of operators reimbursed to date by system size d) Number of operators still in need and eligible for reimbursement as of 6/30/07.	T = As needed	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. Certificates are being issued within 2-5 days of receipt on del. #3. 2ND QTR: All deliverables are on target. 3RD QTR: Deliverable #11b is Distribution Grade 1 = 18, Distribution Grade 2 = 10, Distribution Grade 3 = 2, Distribution Grade 4 = 1, Treatment Grade 1 = 14, Treatment Grade 2 = 9, Treatment Grade 3 = 4, Treatment Grade 4 = 4. Deliverable #11c is 62 operators for public water systems serving less than 3,300 persons. Zero operators for public water systems serving greater than or equal to 3,300 persons.			

* Expense Reimbursement Grant funds deliverables 9.

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	8.00	24,382
PWSS Federal	6.00	22,429
PWSS DWSRF Fees	6.00	17,477
TOTAL	20.00	64,288

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.5	<p>TASK: System Security New and Existing</p> <p>Assist Arizona public water systems in identifying the minimum amount of physical security required to adequately protect both the system's water source and infrastructure from intentional acts of vandalism and terrorism. Assist water systems in developing comprehensive Emergency Operations Plan (EOP) based on results from Vulnerability Self-Assessments.</p> <p>Develop and implement a training program to ensure that existing systems have the knowledge and awareness of security concerns related to their water system.</p> <p>Develop partnerships with organizations and other government agencies to ensure Emergency Management Planning (EMP) results in comprehensive and coordinated response to any unexpected incident experienced by Arizona water systems</p> <p>DELIVERABLES:</p>		
WPC	1) Distribute EOP/ERP/VA templates to systems on an as needed basis, including small systems.	T = Ongoing	Drinking Water
WPC	2) Incorporate EMP/ERP/VA topics into ongoing section capacity workshops, including small systems.	T = 12	Drinking Water
WPC	3) Coordinate with other federal, state and local agencies' water system security activities.	T = Ongoing	Drinking Water
WPC	4) Identify and secure materials which will enhance water systems' security and responsiveness on water security issues and disseminate this new security information to water systems in a timely manner.	T = Ongoing	Drinking Water
WPC II	5) Provide drinking water systems with timely and reliable information and improve communication and coordination capabilities between states and drinking water systems through workshops, tabletop exercises, and other educational events.	T = Quarterly	Drinking Water

FY07 3rd QUARTER EXCEPTION REPORT

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1.1.5	TASK: System Security New and Existing (Cont'd.) DELIVERABLES:		
WPC	6) Track enhancements presented by EPA to security efforts.	T = Ongoing	Drinking Water
PWSS Fees	7) Coordinate activities of rapid response team for water system security issues.	T = Ongoing	Drinking Water Data Mgmt.
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. 2ND QTR: All deliverables are on target. 3RD QTR: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	6.00	20,002
PWSS DWSRF Fees	6.00	17,477
Water Protection Coord. (WPC)	5.00	18,691
Water Protection Coord. II (WPC II)	1.00	3,738
TOTAL	18.00	59,908

FY07 3rd QUARTER EXCEPTION REPORT

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.6	<p>TASK: New and Existing System Capacity Development</p> <p>Assure that new community water systems and non-transient, non-community water systems which commenced operations since October 1, 1999, can demonstrate technical, managerial and financial capacity to operate in compliance with the requirements contained in the federal regulations in effect at the time the water system begins operations.</p> <p>Refine an internal system capacity development strategy to ensure that existing systems have the technical, managerial and financial capacity to operate in compliance with the requirements contained in the federal regulations.</p> <p>Implement the WIFA/DEQ Partnership to provide Operational Technical Assistance.</p> <p>DELIVERABLES:</p>		
PWSS Fed	1) Assist all newly proposed water systems in gaining adequate capacity prior to issuance of AOC.	T = Ongoing	Drinking Water
PWSS Fed	2) Update capacity development master priority list annually for existing systems.	T = 1	Drinking Water
PWSS Fed	3) Conduct capacity development workshops targeting water systems from the master priority list.	T = 6	Drinking Water
	4) Identify technical, managerial and financial (TMF) obstacles/challenges faced by existing systems.	T = Ongoing	Drinking Water
	5) Implement strategies to address #4 issues.	T = Ongoing	Drinking Water
	6) Place capacity assistance material on department website.	T = As needed A = 7/01/06	Drinking Water

FY07 3rd QUARTER EXCEPTION REPORT

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.6	TASK: New and Existing System Capacity Development (Cont'd) DELIVERABLES:		
	7) Update list of historical significant non-compliance.	T = 08/06/06 A = 9/01/06	Drinking Water
	8) Annual program evaluation report.	T = 09/30/06	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: Del. #7 off target; working with SDWIS programmers to obtain historical SNC information. Del #8 off target; pending December '06 completion. All other deliverables are on target. 2ND QTR: Deliverable #8 is off target; will be completed 1/20/07. 3RD QTR: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	6.00	20,002
PWSS Federal	18.00	63,878
TOTAL	24.00	83,880

FY07 3rd QUARTER EXCEPTION REPORT

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.7	TASK: SDW Monitoring Assistance Program (MAP) Develop and implement strategies to perform compliance monitoring for systems serving fewer than 10,000 populations. Provide technical assistance, develop and update monitoring guidance documents. Initiate and issue waivers. Update vulnerability assessments. Reconcile laboratory analysis report with requested tests. Reconcile laboratory test results with individual system monitoring requirements. Assure all follow-up samples are taken. GPS points of entry, as needed. Develop rules, as needed. DELIVERABLES:		
	1) Update monitoring waivers for 2007 systems.	T = Ongoing	Drinking Water
PPG	2a) Update monitoring schedules for all groundwater MAP PWS.	T = a) Biweekly	Drinking Water
	2b) Update monitoring schedules for all surface water MAP PWS.	T = b) Biweekly	
PPG	3) Send MAP bills.	T = 11/01/06 A = 11/10/06	Drinking Water
PWSS Fed/ PWSS Fees	4) Participate in capacity development assistance workshops.	T = 12	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. 2ND QTR: All deliverables are on target. 3RD QTR: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	8.00	31,743
PWSS Federal	9.55	34,200
PPG	12.00	37,492
PWSS DWSRF Fees	2.45	8,774
MAP Fee Fund	12.00	41,965
TOTAL	44.00	154,174

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.8	TASK: Drinking Water Rule Development and Implementation Update Arizona's drinking water rules to conform to federally promulgated regulations so that the drinking water rules are no less stringent than the federal language. Implement new public water system definition. DELIVERABLES:		
	1) Monitor federal groundwater rule and adjust workplan accordingly.	T = Ongoing	Drinking Water
PWSS Fed	2) Incorporation by reference rule (18 A.A.C.4) a) Open rule docket b) Preproposal stakeholder process c) Submit proposed rule to Secretary of State d) Submit draft primacy package to EPA e) File draft final rule with GRRC f) GRRC hearing g) Rule becomes effective h) Submit final primacy package to EPA	T = a) 03/06 b) 04/06 c) 06/06 d) 08/06 e) 08/06 f) 10/06 g) 12/06 h) 01/07 A = 4/06	Director
PWSS Fed	3) PWS design review fee rule (18 A.A.C. 14) a) Open rule docket b) Preproposal stakeholder process c) Submit proposed rule to Secretary of State d) Submit courtesy draft to EPA e) File draft final rule with GRRC f) GRRC hearing g) Rule becomes effective h) Submit final rule to EPA with AG certification	T = a) 03/06 b) 04/06 c) 06/06 d) 06/06 e) 07/06 f) 09/06 g) 12/06 h) 01/07 A = 4/06	Director
	4) Subdivision rule (18 A.A.C. 5, Art. 4) a) Open rule docket b) Preproposal stakeholder process c) Submit proposed rule to Secretary of State d) Submit courtesy draft to EPA e) File draft final rule with GRRC f) GRRC hearing g) Rule becomes effective h) Submit final rule to EPA with AG certification	T = a) 12/05 b) 05/06 c) 10/06 d) 10/06 e) 12/06 f) 02/07 g) 04/07 h) 04/07 A = 9/05	Director
	5) Follow-up to data verification exercise.	T = As needed	Drinking Water

FY07 3rd QUARTER EXCEPTION REPORT

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.8	TASK: Drinking Water Rule Development and Implementation (Cont'd) DELIVERABLES:		
	7) Training: a) Coordinate external training opportunities b) Provide formal training to ensure new staff understands regulatory framework and job responsibilities.	T = a) 1 b) Ongoing	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: 1) On-Target 2) Off-Target: The omnibus incorporation by reference rule was delayed due to a substantial change issue; a draft is completed, and will now go through the stakeholder process. 3) Off-Target: The PWS design review fee rule is on hold pending completion of an economic study being completed for several fee rules. 4) Off-Target: The subdivision rule was on hold pending completion of a related rule being promulgated by the Arizona Department of Water Resources (the Assured and Adequate Water Supply rules); this rulemaking was recently completed, so the subdivision rule process is now able to proceed. 5) On-Target 6) N/A 7) On-Target 2ND QTR: See 1 st Qtr – no significant change in status of tasks. 3RD QTR: See 1 st and 2 nd quarter – no significant change in status of tasks; also, Deliverable #4 is being taken off the regulatory agenda due to competing priorities (other rules, including revisions to DEQ's biosolids rules, which will replace this item).			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	7.26	40,568
GF-APP (Special)	5.64	17,199
WQFF	6.36	19,395
PWSS Federal	30.24	127,044
TOTAL	49.50	204,206

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TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.9	<p>TASK: Implement Source Water Protection</p> <p>Identify protective strategies and assist individual water systems with the planning, development and application of measures/actions necessary to implement the Source Water Protection Program.</p> <p>a) Source Water Protection – transition from assessments to protection by providing technical assistance in planning and implementing local Source Water Protection for public water systems throughout Arizona.</p> <p>b) Source Water Assessments – perform assessments for new individual sources or new systems which voluntarily choose to participate in source water protection by delineating source water protection areas, inventorying potential sources of contamination and performing vulnerability/susceptibility analysis.</p> <p>DELIVERABLES:</p>		
PWSS Fed	1) Conduct Source Water Protection Program workshops with PWSs to facilitate transition from assessments to protection.	T = As needed	Drinking Water
PWSS Fed	2) Collect locational data using GPS. a) New Drinking Water Sources. b) Specific chemical use/storage within ½ mile radius of new drinking water source. c) Newly discovered potential sources of contamination.	T = As needed	Drinking Water
PWSS Fed	3) Delineate source water assessment areas for new PSWs/sources.	T = As needed	Drinking Water
PWSS Fed	4) Make sensitivity determinations for PWSs/sources through: a) Review of occurrence data. b) Review of sanitary surveys. c) Review hydrological characterization (50 foot clay layer).	T = As needed	Drinking Water
PWSS Fed	5) Conduct comprehensive inventories of potential sources of contamination.	T = As needed	Drinking Water
PWSS Fed	6) Produce SWAP reports for new PWSs that volunteer to participate in SWP.	T = As needed	Drinking Water

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Objective #1: Ensure safe drinking water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.9	TASK: Implement Source Water Protection (Cont.d) DELIVERABLES:		
PWSS Fed	7) Update SWAP reports for existing systems to include new sources for PWSs that volunteer to participate in Source Water Protection (SWP).	T = As needed	Drinking Water
PWSS Fed	8) Identify the most prevalent and most threatening potential sources of contamination, statewide.	T = As needed	Drinking Water
PWSS Fed	9) Assist community water systems to identify protection strategies and to implement those strategies.	T = Ongoing	Drinking Water
PWSS Fed	10) Assist community water systems in achieving minimized risk to public health, by moving towards substantial implementation.	T = Ongoing	Drinking Water
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)</p> <p>1ST QTR: No activity during this quarter.</p> <p>2ND QTR: No activity during this quarter.</p> <p>3RD QTR: No activity during this quarter.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
PWSS Federal	45.00	167,709

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.10	TASK: Drinking Water Monitoring and Reporting These activities will support all the monitoring and reporting requirements for public drinking water systems in accordance with state and federal drinking water rules. DELIVERABLES:		
PPG	1) Drinking water monitoring and reporting complaint file investigations.	T = As needed	Drinking Water
PWSS Fed	2) Provide site-specific monitoring and reporting compliance assistance.	T = As needed	Drinking Water
PWSS Fees	3) Evaluate and respond to EPA's Significant Noncompliance/Exception List. a) Confirm true SNCs/exceptions and annotate list (within 30 days of final quarterly SNC/Exception List).	T = As needed	Drinking Water
	4) Complete SDWIS reporting for OECA on actions taken on new SNCs and exceptions.	T = Quarterly	Drinking Water
	5) Review SDWIS for purpose of identifying non-SNC violations.	T = Quarterly	Drinking Water
	6) For MCL exceedances, confirm issuance of appropriate public notice and schedule/conduct targeted site inspections	T = As needed	Drinking Water
	7) Hold regular meetings and/or conference calls with EPA to discuss PWSS issues. Document agreement on discussed items and follow up actions.	T = 12 (Monthly)	Drinking Water

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #1: Ensure safe drinking water.		Program #4900: Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.1.10	TASK: Drinking Water Monitoring and Reporting (Cont'd.) DELIVERABLES:		
PWSS Fed	8) Complete identification of Groundwater Under the Influence (GUDI) systems. Discuss status of GUDI actions taken during monthly calls; track percentage of suspect sources for which determinations have been made.	T = As needed	Drinking Water
	9) Track compliance with revised PWSS definition for applicable facilities in Arizona, in SDWIS.	T = Quarterly	Drinking Water
	10) Track monitoring and reporting referred to enforcement for action.	T = As needed	Drinking Water
	11) Process and record waivers in Drinking Water database and mail waiver letters to PWS.	T = As needed	Drinking Water
	12) Maintain and update drinking water information on ADEQ's website.	T = As needed	Drinking Water
	13) Increase public water system customer knowledge of where their water comes from, the quality of their water and how their water systems operate through the publication of an annual Consumer Confidence Report (CCR).	T = Annually	Drinking Water
	14) Review and provide comment on EPA's Annual Compliance Report.	T = 06/07	Drinking Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.) 1ST QTR: All deliverables are on target. 2ND QTR: All deliverables are on target. 3RD QTR: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PWSS	19.50	73,188
PPG	12.50	37,890
PWSS Federal	12.00	48,980
PWSS DWSRF Fees	45.00	159,510
TOTAL	89.00	319,568

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #2: Reduce pollutant loading to groundwater.		Program #4400: Underground Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.1	TASK: Aquifer Protection, Reclaimed Water & Drywell Activities Carryout statutory requirements of Arizona's groundwater protection program. Maintain compliance with this program through effective permitting and inspection activities in accordance with Department practice and policies. DELIVERABLES:		
	1) Referrals to Water Quality Compliance Section for failure to obtain an APP or comply with APP regulations.	T = As needed	Groundwater
	2) Drywell registrations: a) Register existing and new dry wells (Class V injection wells). b) Evaluate wells to determine potential impact from waste disposal activities in drainage area to assess need for APP. c) Maintain drywell database. d) Inspect registered drywells in Maricopa County.	T = 100-200/monthly d) As needed	Groundwater Compliance
	3) Make permitting decisions for individual permits, significant amendments and clean closure approvals for new facilities: a) Determine BADCT requirements necessary to prevent adverse impacts to groundwater quality due to mining, industrial or wastewater treatment activities at facilities. b) Evaluate existing groundwater quality and potential for adverse impacts due to wastewater disposal options.	T = Within LTF	Groundwater
	4) Issue discharge authorizations for Type 3 and Type 4 general APPs.	T = Within LTF	Groundwater NRO SRO
	5) Evaluate potential for adverse impacts to groundwater quality resulting from recharge through injection wells, recharge basins or other means.	T = Within ADWR timeframes	Groundwater
	6) Process reclaimed water permit applications or NOIs. a) Evaluate applications for permits to encourage beneficial uses of reclaimed water to eliminate discharges to groundwater, protect public health and conserve groundwater resources.	T = Within LTF	Groundwater

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #2: Reduce pollutant loading to groundwater.		Program #4400: Underground Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.1	TASK: Aquifer Protection, Reclaimed Water & Drywell Activities (Cont'd) DELIVERABLES:		
	7) Issue subdivision approvals of wastewater collection, treatment and disposal facilities and individual on-site treatment and disposal systems.	T = Within LTF	Groundwater NRO
	8) Make permitting decision for remaining existing mining facilities.	T = 7/31/06	Groundwater
PPG	9) Continue to communicate with EPA on groundwater related issues.	T = Ongoing	Groundwater
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: Deliverable #8 is off target; ongoing negotiations with the Phelps Dodge Corporation; updated closure costs and their financial civil capability demonstrations have caused the target dates to be exceeded. All other deliverables are on target. 2ND QTR: Deliverable #3: Investigating potential LTF exceedances this quarter; will report any exceedances next quarter. Deliverable #8 is off target; ongoing effort to complete delayed to update financial capability. 3RD QTR: Deliverable #1, 2 were referred to Compliance US Army Yuma Proving Ground #100796 and ADEQ-AZ Training Program WWTP #101723. Deliverable #4, 2 Type 4s require research of LTF events. All other deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	66.24	233,730
GF (SRO)	3.50	11,029
GF-APP	131.40	497,274
WQFF	254.76	930,509
PPG	6.60	38,573
PPG (SRO)	1.00	4,042
TOTAL	463.50	1,715,157

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #2: Reduce pollutant loading to groundwater.		Program #4400: Underground Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.2	TASK: Groundwater Program and Rule Development Perform program development activities relating to rules development and revision, program policies and program procedures. DELIVERABLES:		
PPG	1) Provide input to LTF2 rule development.	T = As needed	Groundwater
	2) Propose revisions to APP Fee rule.	T = 12/06	Groundwater
	3) Develop new delegation agreements in conjunction with Office of Administrative Counsel and provide support for delegated agencies as needed.	T = As required	Groundwater
	4) Final Aquifer Water Quality Standards rule.	T = 1/07	Groundwater
	5) Training: a) Coordinate external training opportunities b) Provide formal training to ensure new staff understands regulatory framework and job responsibilities.	T = a) 1 b) Ongoing	Groundwater
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.) 1ST QTR: All deliverables are on target. 2ND QTR: Del. #2 off target; working with PBS&J on fee analysis to support rulemaking. Del. #4 off target; informal draft rule released to stakeholders on 11/28/06. Del. # on target; Yuma signed in 2 nd Qtr. All other deliverables on target. 3RD QTR: Deliverable #2 is off target; working with PBS&J on fee analysis to support rulemaking. Deliverable #4; reviewing responses to informal comment period. All other deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	4.56	24,708
GF-APP	1.44	7,802
PPG	6.00	37,098
TOTAL	12.00	69,608

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #2: Reduce pollutant loading to groundwater.		Program #4400: Underground Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.3	TASK: Groundwater Compliance and Enforcement Conduct site inspections of known regulated facilities. All inspections will yield a determination of compliance as required by the permit and applicable rule. Field notes and photographs will support findings and conclusions identified in final inspection report. Inspections will also include random field sampling as necessary to ensure compliance with discharge limits. Evaluate, prepare and take informal and formal enforcement actions for violations of state water quality statutes, rules and permit conditions. DELIVERABLES:		
PPG	1) Perform scheduled inspections of those facilities possessing an individual APP or GWPP permit.	T = 170	Compliance NRO = 32 SRO = 18 PHX = 43
PPG	2) Perform scheduled inspections of those facilities possessing a reuse permit.	T = 30	Compliance NRO = 5 SRO = 5 PHX = 20
PPG	3) Perform scheduled compliance inspections of those facilities that require an APP but have not been issued one.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
PPG	4) Perform scheduled compliance inspections of those facilities that have been issued an APP general permit.	T = 58	Compliance NRO = 18 SRO = 20 PHX = 20
	5) Perform follow-up inspections of previously inspected facilities.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
	6) Perform scheduled compliance inspections for drywells.	T = As needed	PHX
	7) Target watersheds or sectors in each of the following priority watershed areas and conduct inspections of permitted and non-permitted sites: Middle Gila 3 and Salt River.	T = Determined during quarterly status meetings	Compliance NRO = As needed SRO = As needed PHX = As needed
	8) Issue Notices of Opportunity to Correct (NOCs) and/or Notices of Violation (NOVs) and monitor corrective actions taken to return to compliance.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4400: Underground Water Regulation	
Objective #2: Reduce pollutant loading to groundwater.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.3	TASK: Groundwater Compliance and Enforcement (Cont'd) DELIVERABLES:		
	9) Complaint investigations will be conducted according to Agency complaint investigation protocols and guidelines.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
PPG	10) Provide site-specific compliance assistance.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
PPG	11) Conduct joint inspections with delegated entities.	T = 15	Compliance NRO = 5 SRO = 5 PHX = 5
PPG	12) Conduct inspections and investigate complaints of those facilities discharging without an APP Permit.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
	13) Compare and review facility information provided on Self-Monitoring Report Forms with state water quality protection database information (WCET)	T = 200	Compliance
	14) Conduct mailing to APP and Reuse permitted facilities requesting the owner confirm water quality protection database information and providing the owner with the correct ADEQ mailing address for submittal of self-monitoring report forms.	T = 200	Compliance
	15) Conduct telephone survey of APP and Reuse permitted facilities, requesting confirmation of water quality protection database information.	T = 200	Compliance
	16) Issue Notices of Violation and track schedules for permit violations.	T = As needed	Compliance
PPG	17) Issue Administrative Orders and track schedules.	T = As needed	Compliance
PPG	18) Referrals to the Attorney Generals Office	T = As needed	Compliance
	19) Close enforcement actions.	T = As needed	Compliance

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #2: Reduce pollutant loading to groundwater.		Program #4400: Underground Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.3	TASK: Groundwater Compliance and Enforcement (Cont'd) DELIVERABLES:		
	20) Review concluded cases and those under development during monthly teleconferences.	T = As needed	Compliance
PPG	21) Track all inspection and enforcement actions in State database (ICE).	T = As needed	Compliance/NRO /SRO/PHX
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: Deliverable #11 is off target; scheduled for latter part of year. All other deliverables are on target. 2ND QTR: All deliverables are on target. 3RD QTR: All deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	14.60	47,496
G/F (UW) (NRO)	25.80	86,488
G/F (SW) (NRO)	6.00	21,881
GF-APP	4.56	14,977
GF (SRO)	12.80	50,187
ST PPG	29.00	95,930
WQFF	21.24	80,780
WQFF (SRO)	2.50	10,768
WQFF (NRO)	10.00	43,748
WQARF	4.00	18,476
PPG	38.70	141,029
PPG (SRO)	3.00	14,023
PPG-AZPDES (SW) (NRO)	27.00	122,744
TOTAL	199.20	748,527

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #2: Reduce pollutant loading to groundwater.		Program # 4400: Underground Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.4	TASK: Groundwater Monitoring Carry out state and federal mandates to monitor and report on groundwater quality conditions and contamination problems statewide. DELIVERABLES:		
PPG	1) Develop groundwater monitoring strategy for assessing impacts of the aquifer protection program. a) Map POC wells b) Identify key areas c) Develop monitoring strategy	T = a) 12/06 b) 03/07 c) 06/07	Surface Water
	2) Complete groundwater basins reports for: a) Hualapai GW Basin b) Pinal AMA GW Basin	T = a) 12/06 b) 06/07	Surface Water
	3) Input/retrieve groundwater quality data into the GWQDB.	T = 12,000 records/year	Surface Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. 2ND QTR: Deliverable #1(a); off-target; Although facility latitude/conditions exists in database, POC location information is not stored electronically. Deliverable #1(b), and (c) are on target. Deliverable #2(a): off-target due to unexpected complex hydrology of the basin; draft to circulate internally February, 2007. Deliverable #2(b); on target. Deliverable #3 on target; input into database through December 31, 2006 was 5,286 records and 37 requests for groundwater information. 3RD QTR: Deliverable #1(a & b) is off target; although facility latitude/conditions exists in database, POC location information is not stored electronically. Deliverable #1(c) is on target. Deliverable #2(a) is off target due to unexpected complex hydrology of the basin; draft to circulate internally February, 2007. Deliverable #2(b) is on target. Deliverable #3 is on target; input into database through March 31, 2007 was 7,386 records and 54 requests for groundwater information.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
WQARF PPG	8.00	33,671
PPG	12.00	34,955
TOTAL	20.00	68,626

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #2: Reduce pollutant loading to groundwater.		Program #4400: Underground Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.2.5	TASK: Pesticide Program Carry out statutory requirements of Arizona's Pesticide Contamination Program. DELIVERABLES:		
PPG	1) Continue sampling in vulnerable areas to monitor for pesticides.	T = 12 wells, 12 samples	Compliance
	2) Pursue enforcement/compliance actions against chemical companies whose registered agricultural pesticides are detected in groundwater or soil, consistent with Agency policies.	T = As needed	Compliance
	3) Coordinate with laboratories for annual pesticide sampling a) Department of Agriculture. b) Department of Health Services	T = a) 01/07 b) 08/06 A = 8/06	Compliance
	4) Maintain monitoring wells drilled in Yuma and Maricopa counties.	T = As needed	Compliance
	5) Review data call-in information for new pesticide active ingredients.	T = As needed	Compliance
	6) Manage Ground Water Protection List. a) Update per AZ Department of Agriculture registrations b) adopt annual GWPL per AAC R18-9-301	T = a) quarterly b) 06/07	Compliance
	7) Maintain Pesticide database.	T = Ongoing	Compliance
	8) Prepare for the Legislature: a) Annual pesticide Use/Sale Reports.	T = a) 09/06 A = 9/06	Compliance
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. 2ND QTR: All deliverables are on target. 3RD QTR: All deliverables are on target; however, ADEQ no longer uses Dept. of Ag. as a laboratory. ADEQ meets all sampling needs through the Dept. of Health Services.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	15.28	45,284
ST PPG	1.00	2,060
WQARF	5.00	23,095
PPG	11.00	41,459

FY07 3rd QUARTER EXCEPTION REPORT

TOTAL	32.28	111,898
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FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.1	TASK: Arizona Pollutant Discharge Elimination System (AZPDES) Carry out federal and state statutory requirements of Arizona National Pollutant Discharge Elimination System program. DELIVERABLES:		
PPG	1) Make permit decisions to issue, modify, deny, suspend or revoke AZPDES permits.	T = Within LTF	Surface Water
PPG	2) Reissue all expiring AZPDES permits for which complete permit renewal requests have been received 180 days prior, but no later than 6 months after the permit expiration.	T = No later than 6 months after the expiration date.	Surface Water
PPG	3) Review all requests received for fundamentally different factors variances; for variances under Sections 301(c), (g), (h) and (k) and Section 316(a) of the CWA; and for modifications to federal effluent limitations.	T = As needed	Surface Water
	4) Provide technical assistance and program information to permittees, applicants, agencies and the public.	T = As needed	Surface Water
	5) Issue general AZPDES permit for stormwater discharges associated with industrial activity.	T = 12/31/06	Surface Water
	6) Issue individual phase I MS4 permits.	T = 12/31/06	Surface Water
	7) Prepare CWA §401 state certification letters for EPA drafted permits.	T = As needed	Surface Water
	8) Process NOIs, NOTs, waivers, for AZPDES general permits including review of SWPPPs for discharges to unique or impaired waters.	T = Ongoing	Surface Water
	9) Develop general permit for sludge-only facilities.	T = 06/07	Surface Water
	10) Continue review and approval of SWMPs submitted by small MS4s.	T = Ongoing	Surface Water

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.1	TASK: Arizona Pollutant Discharge Elimination System (AZPDES) (Cont'd) DELIVERABLES:		
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.			
1ST QTR: Del. #1 is on target. Del. #2 is off target: there are currently 5 major and 3 minor permits still in process 6 months passed their expiration dates that submitted timely renewal applications. Of these, 5 are in or being prepared for public notice and are expected to be final by January 1, 2007. The Tuma Water Treatment Plant and Cholla Lake are on hold until the Water Quality Standards Rule revisions are complete, and Resolution Copper needs to provide additional treatment and revise its application. A listing of AZPDES Permit status for renewal and new permits is attached. Del. #3 and #4 are on target. Del. #5 is on hold, awaiting finalization of EPA MSGP permit. Del. #6 is off target: there are currently 8 individual MS4 permits that are under administrative continuance. Two of these are currently being drafted and one is expected to be final by early 2007. Deliverables #7 through #10 are on target.			
2ND QTR: All deliverables on-target, except the following. Deliverable #1 is off target for 1 permit. Phoenix 91 st Ave WWTP was due 11/06/06; GRC has lodged an objection to ADEQ issuing permit due to jurisdiction questions. Deliverable #2 is off target. There are currently 5 Major permits still in process with passed expiration dates that submitted timely renewal applications. All 5 have completed the public notice process, and should be signed in January 2007, except for Resolution Copper. New information was received during the public notice period for this permit which requires changes to the facility's processes and to the draft permit. There are currently 3 Minor permits still in process with past expiration dates that submitted timely renewal applications. Of these, 2 are on hold (Cholla and Yuma Main Street Plant), until the completion of the triennial review of WQ Standards, and a third is waiting for 208 consistency. Note also, in addition to the above, 25 new permits are in various stages of development. Deliverable #5 is off target. Progressing the AZ draft MSGP permit has been delayed due to EPA's pending MSGP that is currently in the process of being finalized, as well as the uncertainty of the <i>Defenders</i> case. Deliverable #6 is off target. There are currently 8 individual MS4 permits under administrative continuance. 2 of these are currently being drafted, and expected to be finalized by 9/30/07. A preliminary draft permit for Phoenix was sent to review to EPA on 6/1/06. A revised draft and fact sheet are expected to be completed by early February 2007. Deliverable #10 status: 31 out of 41 SWMPs have been reviewed. 21 have been approved to date; the rest have been given deficiency letters w/ direction to correct SWMPs.			
3RD QTR: Deliverable #1 is off target for 1 permit. Aqua Caliente has completed public notice and will be routed for signature in 4/07. Deliverable #2 is off target. There are currently 4 Major permits still in process more than 6 months past the expiration dates that submitted timely renewal applications. All 4 have completed the public notice process; 2 (Yuma Figueroa and Cave Creek should be signed in 2007; 1 (Resolution Copper) needs to make revisions to its application to address toxicity; and 1 (91 st Ave WWTP) is on hold due to a boundary dispute. There are currently 4 Minor permits still in process with past expiration dates that submitted timely renewal applications. Of these 1 (Cholla) is on hold till the completion of the triennial review of WQ Standards; 1(Yuma Main Street Plant) is being prepared for a second public notice and 1 (Flagstaff Meadows) was on hold for 208 and is now working on a variance request and 1 (Saddlebrooke) is in public notice. 9 permits were issued this quarter. 30 existing permits (renewals) and 21 new permits are in various stages of development. Deliverable #5 is off target. Progressing the AZ draft MSGP permit has been delayed due to EPA's pending MSGP that is currently in the process of being finalized, as well as the uncertainty of the <i>Defenders</i> case. Deliverable #6 is off target. There are currently 8 individual MS4 permits under administrative continuance. 2 of these (ADOT and City of Phoenix) are currently being reviewed by the permittees and expected to be finalized by 9/30/07.			

FY07 3rd QUARTER EXCEPTION REPORT

Deliverable #10 status: 31 out of 40 SWMPs have been reviewed. 24 have been approved to date; the 7 have been given deficiency letters w/ direction to correct SWMPs. 9 SWMPs still need initial review. Balance of deliverables are on target.

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF/AZPDES	56.76	204,819
ST/AZPDES PPG	60.00	209,574
PPG-AZPDES	43.54	171,428
TOTAL	160.30	585,821

FY07 3rd QUARTER EXCEPTION REPORT

AZPDES Project Status- 3/31/07

AZPDES Renewal Permits for 1/1/07-3/31/07

Permittee	AZPDES No. AZ__	Type	Permit Exp. Date	Permit Exp Date + 6 months	Date appl. Due/ Received	LTF DATE	Proj Mgr	Permit Status
APS- Cholla Power Plant **carryover	0023311	Min	8/12/03	2/12/04	rec. 2/12/03	N/A	DD	Awaiting Lake Mgt. Study plan. Def. Ltr. Sent 9/29/03. Mtg. w facility 3/23/04. 5/5/04, 8/11/04 Facility wishes to pursue delisting as it is industrial cooling pond. On hold.
Arizona Sanitary District	0024244	maj	12/29/06	06/29/07	Due 06/29/06 Received 07/21/06	157 days	DN JB	Ext rev- 2/26/07. Comments received 3/26/07 <i>Next Action: Public Notice</i>
Bensch Ranch	0024813	min	08/25/07	02/25/08	Due 02/14/07 Rec 02/15/07	186 days	JM	Adm Def ltr 2/27/07 Response to Admin Def Ltr due 4/30/07. <i>Next action: DRAFT permit</i>
Blue Beacon Truck Wash- Kingman	0023035	Min	1/22/06	7/22/06	Due 7/22/05 Rec. 7/18/05	66 days	RA	PN 09/08/06 Signed 10/18/06; Eff 10/18/06; Expires 10/18/11
Bradshaw Mtn.	0024741	Min	07/14/06	1/14/07	Due 1/14/06 Rec, 1/17/06	208 days	SF	PN 11/30/06. Signed and eff 2/1/07
Buckeye, Central	0025313	Maj Mod	3/35/09		Rec 4/24/06		IC	PN Nov 2, 2006; Signed 12/19/06: eff 1/23/07; ezp 3/25/09
Canyon Lake Marina	0021440	min	11/10/06	05/10/07	Due 05/10/06 Received 10/09/06	178 days	RA	1/16/07: Comprehensive def. letter sent. 2/8/07-Incomplete response received. 2/14/07 Incomplete response letter sent to facility. Response due: 4/16/07. (Need variance—can not meet nutrient standards and mixing zone cannot be granted since receiving water itself does not meet the standards). <i>Next action: Once variance has been requested (including financial info) and approved, permit will be drafted.</i>
El Mirage	0023272	Maj	08/16/06	2/16/07	02/16/06/ 2/22/06	97 days	SF	PN 12/7/06 Signed 1/31/07 Eff 3/7/07
Entrada del Oro	0024899	min	06/28/07	12/14/07	Due: 12/28/06 Received 11/06/06	201 days	SF	Response to Adm Def received 3/6/07. <i>Next Action: On hold for 208 till 04/07</i>
Flagstaff Meadows	0024708	Min	6/9/06	12/9/06	Due 12/13/05 Rec. 12/9/05	182 days	JPM	Admin def. ltr. 1/20/06 with 45 day response. On hold for 208. Sub Def ltr 1/5/07 <i>Next Action: Develop variance request</i>
Globe, City of	0020249	Maj	01/29/07	07/29/07	Due: 7/29/06 Rec: 7/31/06	208 days	JM	<i>Next Action External Review</i>

FY07 3rd QUARTER EXCEPTION REPORT

Goodyear, Corgett Wash	0023582	Min	6/13/06	12/13/06	due 12/13/05 Rec 12/13/05	82 days	JPM	Signed 8/22/06, effective 8/22/06 expires 8/22/11
Havasu Pumping Plant	0023990	min	1/28/07	07/28/07	Due 7/28/06 Rec 7/28/06	60 days	RA	3/8/07- Draft permit and fact sheet sent to permittee, EPA, and internal group for review. Comments due 4/9/07. <i>Next action: Public Notice</i>
Kingman WWTP	0022489	min	02/16/07	08/16/07	08/11/06	137 days	JM	Adm Rev Def sent 8/25/06 Ext rev 2/2/07 PN 3/23/07 Next Action: Prepare for signature
Luke Air Force Base WWTP	0110221	Maj	12/23/06	6/23/07	Due 6/23/06/ Rec 6/23/06	191	RA	PN 11/16/06 Routing for signature 12/26/06. Signed January 16, 2007
Mountain Pass	0024775	Min	1/11/07	7/11/07	Due 7/1/06 Rec 6/21/06	88 days	LW	Adm Rev Def 8/9/06 Response 8/17/06
Nogales WWTP	0025607	Maj	07/01/06	1/01/07	Due 12/31/05 Received 03/27/06	97 days	DN	AKA AZ0020150 Next action: Adm Def Ltr sent Contractor project Substantive requests submitted 9/15/06. Next Action: Pre Public Notice Meeting early 4/07
One Camelback	0023868	Min	12/02/06	6/02/07	Due 6/02/06/ Rec 9/18/06	138 days	JM	External review Jan 07 Next Action: Response to Substan Def Ltr due 5/23/07.
Oracle Sanitary District	0020681	Min	06/16/07	12/16/07	Due 12/16/06 / Received 12/28/06	186 days	JB	Adm Def sent 02/06/07 Next Action: Draft Permit
Paradise Valley-Az Water Miller Road Treatment Facility	0024139	min	01/27/07	07/27/07	Due 07/27/06 Received 07/28/06	118	IC	Signed and eff 2/1/07
Petro Stopping Center	0022756	Min	1/22/06		Due 7/22/05 Rec 8/23/05	7/7/06	RA	SIGNED 8/14/06 Effective 8/14/06 Expires 8/14/11
Phoenix, Cave Creek	0024465	Maj	1/23/05	7/23/05	Rec. 7/20/04	0	DN IC	Ext. rev. 9/7/05 for 30 days. Responding to comments PN Oct 26, 2006. Next Action: Respond to comments and prepare for signature
Pinto Valley BHP	0020401	Maj	08/25/07	02/25/08	12/04/06	249 days	IC	Next Action: Adm. Def letter sent 1/4/07
Peoria, Butler Drive WRF (pka South Peoria)	0025119	Maj mod	05/12/09		Rec 9/8/05	64 days	Jpm	Issued 11/2/06
Peoria- Jomax	0024945	M	02/18/08	NA	NA			Signed and eff 1/31/07
Phoenix, 91 st St. Ave	0020524	Maj	12/31/03	5/31/03	due 6/31/03 Rec. 7/07/03	0 days 11/6/06	WM DD	Ext.r Rev 1/06. Responding to comments. PN 12/07/06 Next action: On hold do to comments received from GRIC
Phelps Dodge-Bagdad	0022268	Maj	12/4/06	06/04/07	Due 6/4/06 Rec 6/21/06	122 days	IC	External Review 1/31/07. Next action: Respond to comments received in external review.
Phelps Dodge-Christmas	0020516	Maj	11/4/06	05/04/07	Due 5/4/06 Rec 5/4/06	161 days	IC	Next action: Adm Def Ltr sent 5/26/06; Response 7/7/06. External Rev draft 9/27/06 PN 1/17/07 WITHDRAWN
Phelps Dodge- Iron King	0023546	Maj	12/13/06	06/13/07	Due 6/13/06 Rec 6/13/06	239 days	IC	Next action: Adm Def Ltr sent 6/16/06; Response Rec 6/26/06. Under rev.

FY07 3rd QUARTER EXCEPTION REPORT

Pine Meadows	0024783	min	03/08/07	09/08/07	Due 09/07/06 Received 08/07/06	146 days	DN	Substantive Def 2/16/07 Next action: <i>Response to Substan Def Ltr asking for variances for phosphorus and nitrogen due 5/17/07.</i>
Resolution Copper Superior	0020389	Maj	11/7/05	5/7/06	Due 5/7/05 Rec 4/28/005	181 days	IC	adm def ltr 5/18/05 w 90 day resp. time. To ext. rev. 1/17/06. PN May 31, 2006 New data received through PN waiting for revised application. Def Letter for new application 2/20/07, Next action: receive response
Phoenix – Rio Salado	0024554	min	2/16/07	08/16/07	Mod/ 3/15/06	60 days	RA	Admin rev. 11/2/06- Comprehensive def. ltr. Sent 11/20/06-received incomplete response. 12/4/06-Sent incom. resp. ltr. 12/14/06- met with city and consultants. 1/16/07-City submitted incomplete data. 2/06/07-Sent comments to city. 3/9/07-Received revised spreadsheet 3/9/07-Sent email to city and consultant that response is incomplete. Next action: once receive requested data, will complete drafting the permit.
Roosevelt Lake	0023787	min	9/4/06	3/4/07	Due 3/4/06/ Rec 3/15/06	126 days	RA	Admin rev. Ext Rev 10/16/06 EPA needs financial info to approve variance. (Need variance—can not meet nutrient standards and mixing zone cannot be granted since receiving water itself does not meet the standards). Next action: Once variance has been requested (including financial info) and approved, permit will be drafted.
Sedona Venture	0021807	Min	5/12/06		Due 11/12/05 Rec. 11/12/05		IC	Signed 7/3/06; effective 7/3/06; expiration 7/3/09
Show Low	0023841	Maj	6/27/06	12/27/06	Due 12/27/05 Rec. 12/12/05	148 days	DD IC	Signed 9/25/06; eff 9/25/06; expires 9/25/09
Saddlebrooke WWTP	0022853	M	9/29/05	3/29/05	Due 3/29/05 Rec 3/22/05	0	ILC	External rev. 9/21/05. To pn 11/4/05. 15 DAY EXT. NOTICED ON 12/6/05. 3 rd PN sent 3/19/07. Next action: respond to PN comments and route for signature
SRP- Kyrene Generating Station	0023540	M	08/27/07	02/27/08	Due 2/7/07 Received 03/1/2007	249 days	RA	Next action: Review application/file
Stone Castle AKA Soft Winds MH Park	0022128	min	02/16/07	08/16/07	Due 08/16/06 Rec 07/21/06	80 days	JM	Ext Rev 11/21/06. Revised draft 2 nd ext review 1/07. PN 2/28/07 Note Action: Public Notice ends 3/30/07 with no comments expected.
SRP- Agua Fria	0023531	M	6/14/06		Due 12/14/05 Rec 11/21/05		RA	PN 7/27/06 Signed 9/14/06

FY07 3rd QUARTER EXCEPTION REPORT

SRP Santan	0023558	Maj	05/31/07	11/31/07	11/29/06	213 days	RA Contract	Adm Rev (contract) Ext Rev 12/27/06 3/19/07-Email sent to facility requesting additional info. Next action: Draft permit for ext. rev.
SRP- K-7	0024791	M	01/17/07	07/17/07	Due: 07/17/06 Rec:	129 days	RA/ Contract	Ext Rev end of Dec 06 2/16/07: met with SRP and went over their comments. Next action: Public Notice
Tombstone	0022080 0025577	min	NA	NA	Due 12/31/06 Rec 5/05/06	149 days	JM	New number assigned as application was late PN 12/14/06 Signed and eff 2/1/07
Valle Airpark	0024015	Min	12/7/06	05/07/07	Due 6/7/06/ Rec 6/13/06	78 days	SF	PN 03/08/07 Next Action: Public Notice ends 4/6/07
Yuma – Figueroa	0020443	Maj	8/29/04	2/29/05	Due 2/29/04 Rec. 2/26/04	Withdrawn for purposes of ltf	DD	PN 4/05. Applicant withdrew application for purposes of LTF on 7/7/05 and will do additional studies of Colorado River water quality. Studies complete. Next Action: Route for signature 4/07
Yuma- Main St. WTP	0021563 0025411	Min	10/25/04	4/25/05	Due 4/25/04 Rec 4/26/04 Resubmitted 4/25/05	92 days	RA DD JM	Withdrawn 12/21/04. Resubmitted 4/25/05 & treated as new application. Applicant responded to Adm. Def. letter on 8/15/05; rev. response. To ext. review 11/2/05. Ext. extended to 12/31/05. PN 2/24/06. Additional information requested based on comments on 3/29/06. NEXT Action: Docs being revised and will go out for a 2 nd Public Notice.
Phase I MS4s Phoenix, Glendale, Scottsdale, ADOT, Tempe, Mesa, Tucson, Pima County						N/A		All expired & administratively continued. Working on draft for Phoenix. Phoenix Draft sent to EPA 6/1/06. Responding to comments and drafting Fact Sheet. Phoenix draft permit and Fact sheet revised and sent to permittee 03/07. Draft permit and fact sheet for ADOT MS4 sent to permittee 03/07. Next Action: receive comments from permittees.

FY07 3rd QUARTER EXCEPTION REPORT

New AZPDES Permit Applications 1/1/0-3/31/07

Applicant	NPDES No. AZ ____	Type	Date Received	LTF DATE	Proj Mgr	Permit Status
Anthem Water line	0025615	min	05/01/06	53	LW	Next action Ext Rev
Atterbury Wash, now called Lincoln Park ** Carryover	0024392	Min	New	N/A (old)	WM	Next action: Response to comments 6/11/04 Tucson Water requested a meeting with ADEQ to discuss issues. <i>meeting held 9/23/04; has been inactive since.</i>
Balterra	0025585	Min	4/28/06	186 days remain	SF	208 inconsistent. Amendment in process
Buckeye, Palo Verde Road	0025500	Maj	12/15/05	37 days	MP LW	Next Action: Admin review sent 11/1/05- response due Feb 1, 06. Ext Rev 5/26/06
Buckeye, Sun Valley South WRF	0025691	Maj	1/25/07	237 days	SF	On hold for 208
Buckeye, Trillium West WWRF	0025518	Maj	12/16/05	237	JPM	<i>PN 2/1/07 Signed and effective 3/19/07. expires 3/19/12</i>
Buckeye WWTP Expansion (Garney Construction)	0025551	Min	2/1/06	32 days	LW	Long term dewatering project. Response to Sub def received 11/21/06. Next Action: draft permit.
Camino Real Wash	0025534	Min	12/22/05	Withdrawn 1/30/07	LW	Became De Minimus- Withdrew application.
Chevron- former facility 0896	0025593	Min new	3/13/06	Withdrawn 1/30/07	MP RA	Withdrawn
Eden Organics	0025496	MIN	12/12/05	90	DN	Response to Adm Def 6/5/06. Sub Def ltr sent 11/24/06. Inc response ltr sent 2/20/07
Glen Canyon Dam USDI BOR	0025666	min	11/27/06	139 days	RA	For sump discharge
Glendale, - West Area Water Rec. Facility	0025232	New Maj	5/3/04	81 +	MP SF	WITHDRAWN
Hassayampa Ranch RF	00254553	maj	10/13/05	249	MP JM	Next Action: Admin def. ltr 11/1/05 includes 208 issues. On hold for 208 approval.
Holbrook, Painted Mesa WRF	0025542	Maj	1/19/06	214	JPM	<i>PN 11/29/06 Signed and effective January 16, 2007</i>
Jomax, City of Peoria	0024945	Maj Mod	12/9/05		MP IC	<i>PN 12/14/06 Signed 1/31/07</i>
Inscription Canyon Ranch S.D.	0025623	Min	6/30/06	5/17/07	SF	WITHDRAWN
Lakeside Lake	0024201	Min	8/18/05	59days	DD	Next action: Draft Permit sent 1/17/06. Additional studies required substantive def ltr sent 6/5/06. 3/19/07 Permit being re-drafted for PN.
Maricopa N Water Reclamation Plant	0025402	Maj	3/11/05	250+	RA	WITHDRAWN 12/6/05
NIBW Superfund Remediation	0024240 0025178	min new	5/9/03	N/A (old)	MP	Next action: To PN 7/15/04 Reviewing final comments/issues before routing for signature.
Palo Verde Utilities	0025071	Maj mod	7/22/05	186 +	IC	Signed 6/5/06 Effective 7/10/06
Palo Verde- SW WRF	0025461	Maj	10/13/05	249 days	jpm	WITHDRAWN
PCL Construction UP01 completion dewatering at 91 st Ave	0025674	min	12/01/06	169	LW	Response to Admn Def 2/2/07. Next Action: SR, draft
Pecan WRP	0025445	Maj		249 days	jpm	Next action: Admin Rev. held up for 208 issues. As of 12/19/05, info from 208 suggests further 5-6 month delay

FY07 3rd QUARTER EXCEPTION REPORT

Applicant	NPDES No. AZ____	Type	Date Received	LTF DATE	Proj Mgr	Permit Status
Phoenix Zoo	0025488	Min	12/7/05		JPM	Next action: Quarterly reports coming in on sewer hook-up progress.
Pilot Travel Center	0025658	min	11/06/06	186	JPM	Adm Def Ltr sent 12/04/06 Next Action: External Review ends 4/13/07.
Pima County- Agua Caliente Park	0025330	Min New	10/05/04	0	LW	SR response ltr. 11/16/05. resp. by 1/15/05 3/30/06 2nd Substantive rev def. ltr. Sent External Review 12/12/06; PN 3/29/07 Next Action: route for signature
Pinetop Lakeside SD	0025437	New Maj	7/07/05	54	SF	PN 10/31/06. Waiting for variance approval from EPA. Signed and eff 1/22/07
Sahuarita WWTP	0025682	Maj	12/11/06	249	JM	Adm Def ltr sent 1/5/07 and 208 On hold for 208 approval.
Town of Superior WWTP	0021199	Minor, renewal	1/11/07 On time	203	JM	Next Action: Response to Admin Def Ltr w/ WET re- test due 6/7/07.
Tempe Town Lake **carryover	0024490		7/14/1999	N/A	DN RA LW	*EPA drafted & to ADEQ for review/ AZPDES revision. Modifications received from applicant. To RA 11/16/05 To LW 7/2006
Yakima	0025526	Min	Rec 1/31/05??	55 days	DN	Next action: Comp. Request for Add. Info. 1/23/06.
Yuma Desalting Plant	0025003 0025348	new maj	12/31/02 10/15/04	3/7/06	RA	0025003 withdrawn & Replacement application received 10/15/04. Application withdrawn 11/27/05
Weaver Mountain WWTP	0025631	new	09/01/06	203 days 41669	IG JB	On hold for 208

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.2	TASK: CWA 401 Certification of CWA 404 Permits, NEPA Document Review & Other Individual Certifications Conduct CWA §401 Certification program to ensure surface water quality standards are met. DELIVERABLES:		
PPG	1) Review and issue CWA §401 certification for ACOE 404 Nation-Wide Regional General Permit.	T = As needed A = 3/07	Surface Water
PPG	2) Review and issue CWA §401 certification for ACOE 404 individual Permits	T = Within LTF	Surface Water
PPG	3) Review and coordinate comments on EA/EIS or other reports for water quality aspects of transportation, utility and large rezoning projects.	T = As needed	Surface Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. 2ND QTR: All deliverables are on target. 3RD QTR: Deliverable #1 was completed March 2007. Deliverables #2 & #3 are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG	14.00	52,966

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #'s: 4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.3	<p>TASK: Surface Water Compliance and Enforcement</p> <p>Conduct site inspections of known regulated facilities. All inspections will yield a determination of compliance as required by the permit and applicable rule. Field notes and photographs will support findings and conclusions identified in final inspection report. Inspections will also include random field sampling as necessary to ensure compliance with discharge limits.</p> <p>Evaluate, prepare and take informal and formal enforcement actions for violations of water quality statutes, rules and permit conditions and federal CWA statutes, rules and permit conditions.</p> <p>DELIVERABLES:</p>		
PPG	1) Perform compliance inspections of point source facilities. Inspect all major NPDES facilities annually. NPDES inspection reports will encompass applicable activities as described by Section 3-7 of the NPDES Compliance Inspection Manual (EPA 300 – B-94-014)	T = 55	Compliance NRO = 10 SRO = 11 PHX = 34
PPG	2) Perform scheduled compliance inspections of NPDES minor point source facilities. Inspect all minor NPDES facilities on an annual basis. NPDES inspection reports will encompass applicable activities as described by Section 3-7 of the NPDES Compliance Inspection Manual (EPA 300-B-94-014).	T = 93	Compliance NRO = SRO = PHX =
	3) Perform follow-up inspections of previously inspected facilities.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #'s: 4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.3	TASK: Surface Water Compliance and Enforcement (Cont.d) DELIVERABLES:		
	4) a) Conduct inspections of permitted stormwater facilities. i) Industrial ii) Construction b) Report number and percent of facilities inspected that are covered under the general construction and industrial stormwater AZPDES permits.	T = a) i) 74 ii) 76 b) Monthly	Compliance NRO = 17 SRO = 17 PHX = 40 Compliance NRO = 18 SRO = 18 PHX = 40 Compliance PHX = As needed
	5) Perform compliance inspections of CAFO/AFO facilities.	T = 40	Compliance PHX = 40
	6) Perform compliance inspections of biosolids facilities. a) Wastewater treatment plants b) Land application sites	T = a) 6 b) 18	Compliance PHX = 24
	7) Provide outreach assistance in biosolids	T = 4	Compliance
	8) Target watersheds or sectors in each of the following priority watershed areas and conduct inspections of permitted and non-permitted sites: Middle Gila and Salt River.	T = Determined during quarterly status meetings	Compliance NRO = As needed SRO = As needed PHX = As needed
	9) Issue Notices of Opportunity to Correct (NOCs) and/or Notices of Violation (NOVs) and monitor corrective actions taken to return to compliance.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #'s: 4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.3	TASK: Surface Water Compliance and Enforcement (Cont'd) DELIVERABLES:		
	10) Complaint investigations will be conducted according to complaint investigation protocols and guidelines.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
	11) Provide site-specific compliance assistance.	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
	12) Conduct joint inspections with delegated entities.	T = 15	Compliance NRO = 5 SRO = 5 PHX = 5
	13) Conduct inspections and investigate complaints of those facilities discharging without an AZPDES permit	T = As needed	Compliance NRO = As needed SRO = As needed PHX = As needed
	14) Compare and review facility information provided on discharge monitoring report forms with state water quality protection database information (WCET)	T = 200	Compliance
PPG	15) Perform facility file verification of reporting violations discovered through use of the water quality protection database before issuance of Notices of Violation, then modify database accordingly.	T = 100	Compliance
PPG	16) Enter discharge monitoring report data into PCS within 20 days of receipt of the discharge monitoring report (DMR).	T = As needed	Compliance

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #'s: 4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.3	TASK: Surface Water Compliance and Enforcement (Cont'd) DELIVERABLES:		
PPG	17) Enter NPDES information into PCS within 45 days of inspection.	T = As needed	Compliance
PPG	18) Perform monthly quality control of PCS data to identify missing or incorrect data.	T = 12	Compliance
	19) Prepare Quarterly Non-Compliance Report from PCS.	T = Quarterly	Compliance
	20) Prepare agency-wide enforcement docket.	T = Quarterly, as needed	Compliance
PPG	21) Maintain periodic data transfers from WCET to PCS.	T = As scheduled	Compliance
PPG	22) Coordinate with EPA R9 the input of minor source NPDES compliance information into WCET and produce a QNVR report.	T = Quarterly	Compliance
	23) Issue Notices of Violation and track schedules for permit violations.	T = As needed	Compliance
PPG	24) Issue Administrative Orders and track schedules.	T = As needed	Compliance
PPG	25) Referrals to the Attorney Generals Office	T = As needed	Compliance
	26) Close enforcement actions.	T = As needed	Compliance
	27) Review concluded cases and those under development during monthly teleconferences.	T = As needed	Compliance
	28) Coordinate with EPA, Department of Agriculture, and NRCS to develop and implement a plan for creation of a regional nutrient management program.	T = 06/07	Compliance

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #'s: 4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.3	TASK: Surface Water Compliance and Enforcement (Cont'd) DELIVERABLES:		
	29) Hold meetings and/or conference calls with EPA to discuss CWA enforcement. Document agreement on discussed items and follow up actions. Provide list of cases monthly. One call each quarter will be devoted to discussing the QNCR/QNVR list and the details of actions taken on each SNC on the QNVR list. The dates for the quarterly calls devoted to QNVR discussion are scheduled as follows: -September 2006 -December 2006 -March 2007 -June 2007	T = Monthly	Compliance
PPG	30) Provide written enforcement report to EPA which includes: a) number of Administrative Orders issued; b) number of Administrative Orders issued resulting in compliance; c) penalties (civil, assessed and collected); d) brief description of SEPs accepted; e) brief description of civil actions initiated and concluded every two quarters (twice per year); scheduled as follows: -September 2006 -December 2006 -March 2007 -June 2007	T = Quarterly	Compliance
PPG	31) Track all inspection and enforcement actions in State database (ICE).	T = As needed	Compliance/NRO /SRO/PHX
PPG	32) Develop CAFO inspection/enforcement and Compliance Assistance Program including USDA, NRCS, and AZ Dept. of Agriculture.	T = As needed	Compliance

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #'s: 4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.3	TASK: Surface Water Compliance and Enforcement (Cont'd) DELIVERABLES:		
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR: Deliverables #1 is off target due to inspections scheduled for latter part of year. Deliverable #4(a)(1) is off target due to limited staff resources. Deliverable #5 is off target; no staff in CAFO position. Deliverable #6 is off target; no staff in biosolids position. Deliverable #30 is off target; EPA put wastewater conference calls on hold, due to change in wastewater programs Director position; conference call to commence in 2 nd quarter of FY07. All other deliverables are on target.			
2ND QTR: Deliverable #1 is off target due to inspections scheduled for latter part of year in Northern & Southern Regions and because some permittees in the Phoenix area are under construction with no discharge. Deliverable #4(a)(i) is off target due to limited staff resources. Deliverable #5 is off target due to new staff that started in November. Deliverable #6 is off target due to new staff that started in November. All other deliverables are on target.			
3RD QTR: Deliverable #1 & #2 are off target due to inspections scheduled for this quarter in the northern & central regions and because some permittees in the Phoenix area are under construction with no discharge. Deliverable #4 (a)(i) is off target due to limited staff resources. Deliverable #5 and #6 are off target due to new staff that started in November. All other deliverables are on target.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF (SW)	15.40	48,322
GF (SW) (NRO)	35.80	136,818
GF (SRO)	14.80	53,101
GF/AZPDES	26.26	91,514
GF/AZPDES (SRO)	14.50	61,429
GF-AZPDES (NRO)	34.40	141,839
GF/AZPDES ST PPG	21.20	65,477
ST PPG	6.80	19,401
WQFF	7.44	26,479
WQFF (NRO)	3.00	14,472
WQFF (SRO)	4.94	21,278
WQFF-AZPDES (NRO)	3.00	14,472
WQARF	2.00	9,238
PPG	19.40	64,331
PPG (SRO)	5.00	22,108
PPG-AZPDES	36.60	124,269
PPG-AZPDES (SRO)	6.00	26,150
PPG-AZPDES (NRO)	3.00	10,747
TOTAL	259.54	951,445

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.4	TASK: Regional Water Quality Management Planning Carry out state and federal statutory and rules requirements of regional Water Quality Management Planning Program (CWA 208 Program). DELIVERABLES:		
604(b) X	1) WQM Plan Amendments a) Technical assistance to regional planning agencies and regulated community b) Review and process amendments	T = As needed	Surface Water
604(b) X	2) 208 Consistency Reviews a) Technical assistance to regional planning agencies and regulated community b) Determine 208 conformance	T = As needed	Surface Water
604(b) X	3) Revise Continuing Planning Process Document a) Draft CPP b) Public Participation c) Final CPP	T = a) 07/06 b) 09/06 c) 12/06	Surface Water
PPG	4) Develop GIS covers for COG planning and service areas.	T = 12/06	Surface Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.) 1ST QTR: All deliverables are on target, except Deliverable #3; draft CPP may be possible by end of December 2006. 2ND QTR: Del. #3: draft CPP may be possible by end of June, 2007. Del. #4: GIS covers delayed due to loss of staff and refilling position; expect completion by 9/07. All other deliverables on target. 3RD QTR: Deliverable #3; draft CPP may be possible by end of June 2007. Deliverable #4 GIS covers delayed due to loss of staff and refilling position, expect completion by 9/07. All other deliverables are on target.			

Note: 40% of the total 604(b) funding is passed through in contracts to designated planning agencies.

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG	20.00	52,138
604(B) X	8.00	27,126
TOTAL	28.00	79,264

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.5	TASK: Surface Water Program Development, Rules and Standards Perform program development support activities for surface water program including development of water quality standards, including implementation procedures, under Section 303 of the Clean Water Act, other rule development activities and program policies/procedures. DELIVERABLES:		
PPG	1) Triennial review of surface water quality standards. a) Preliminary announcement of issues scoping. b) Develop a triennial review web site. c) ADEQ identifies issues to be considered in the triennial review. d) Initiate stakeholder process for informal discussion of WQS issues and to define scope of triennial review. e) Publish Notice of Docket Opening. f) Preliminary internal draft of surface water quality standards revisions. g) Internal ADEQ review of draft revisions to surface water quality standards rules. h) Publish draft rules for external review by stakeholders. i) Public meetings to discuss draft rules. j) Publish Notice of Proposed Rulemaking and preamble. k) Oral proceedings. l) File Notice of Final Rulemaking with CES/EIS GRRC review. m) File final rule with Secretary of State and complete triennial review at state level.	T = a) 04/04 A = 04/04 b) 07/04 A = 10/04 c) 08/04 A = 09/04 d) 03/05 A = 03/05 e) 08/04 A = 11/04 f) 04/05 A = 07/05 g) 05/05 A = 08/05 h) 06/05 A = 08/05 i) 09/05 A = 10/05-02/06 j) 04/06 k) 07/06 l) 08/06 m) 10/06	Surface Water
NPS VIII	2) Adopt narrative standards and implementation procedures as part of Triennial Review process for: a) Narrative nutrients in lakes and reservoirs b) Narrative bottom deposits c) Narrative biocriterion.	T = a) 10/06 b) 10/06 c) 10/06	Surface Water

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.5	TASK: Surface Water Program Development, Rules and Standards (Cont'd) DELIVERABLES:		
	3) Adopt implementation procedures as substantive policy documents for: a) Narrative nutrients for lakes and reservoirs b) Narrative bottom deposits c) Narrative biocriterion d) Antidegradation.	T = a) 10/06 b) 10/06 c) 10/06 d) 10/06	Surface Water
	4) Develop narrative nutrient criteria workplan for Arizona for streams and rivers.	T = 06/07	Surface Water
PPG	5) Complete revisions to Impaired Waters Identification Rule a) Publish draft rules for external review by stakeholders. b) Public meeting to discuss draft rules. c) Publish Notice of Proposed Rulemaking and preamble. d) Oral proceedings. e) File Notice of Final Rulemaking with CES/EIS GRRC review. f) File final rule with Secretary of State.	T = a) 05/06 b) 05/06 c) 06/06 d) 09/06 e) 10/06 f) 12/06	Surface Water
PPG	6) Training: a) Coordinate external training opportunities b) Provide formal training to ensure new staff understands regulatory framework and job responsibilities.	T = a) 1 b) Ongoing	Surface Water

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.5	TASK: Surface Water Program Development, Rules and Standards (Cont'd) DELIVERABLES:		
EXCEPTION REPORT COMMENTS			
(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR: Deliverables #1(a-i); are on target. Deliverable #1(j); Off-target - staff continue to work on proposed antidegradation implementation procedures rule. New target for completion of Notice of Proposed Rulemaking October 31, 2006. Deliverable 1(k), (l), and (m); are off-target because of delay in initiating formal rulemaking process. Revised target for oral proceedings, Notice of Final Rulemaking and completion of the triennial review at the state level delayed 3-4 months. New target date January, 2007 for completion of triennial review. Deliverable #2(a), (b) and (c); Off-target - Adoption of narrative standards and IPs in triennial review process off schedule by delays in formal rulemaking process for the triennial review. New target for adoption of final narrative standards and IPs at state level, new target date January, 2007. Deliverable #3(a), (b), (c) and (d); Off-target – Formal adoption of substantive policy documents delayed until final narrative standards and antidegradation IP rules are adopted as part of the triennial review. Completion of triennial review expected by January, 2007. Deliverable #4; Off-target – Narrative nutrient criteria workplan for rivers and streams delayed by staffing demands to support triennial review effort. Deliverable #5(d-f); Off-target and delayed 5 months; revised draft IWIR rules sent for management approval to publish draft rules for informal stakeholder review. Deliverable #6; on target.			
2ND QTR: Deliverables #1(a-i); are on target. Deliverable #1(j); Off-target – revised draft in internal review because of scope of changes; additional outreach planned. New target for completion of Notice of Proposed Rulemaking April, 2007. Deliverable 1(k), (l), and (m); are off-target because of delay in initiating formal rulemaking process. New target date May, 2007 for completion of triennial review. Deliverable #2(a), (b) and (c); Off-target - Adoption of narrative standards and IPs in triennial review process off schedule by delays in formal rulemaking process for the triennial review. New target for adoption of final narrative standards and IPs at state level, new target date May, 2007. Deliverable #3(a), (b), (c) and (d); Off-target – Formal adoption of substantive policy documents delayed until final narrative standards and antidegradation IP rules are adopted as part of the triennial review. Deliverable #4; Off-target – Narrative nutrient criteria workplan for rivers and streams delayed by staffing demands to support triennial review effort. Deliverable #5(d-f); Off-target and delayed 5 months; revised draft IWIR rules sent for management approval to publish draft rules for informal stakeholder review. Deliverable #6; on target.			
3RD QTR: Deliverable #1(a-i) is completed. Deliverable #1(j) is off-target. ADEQ will hold one round of public meetings to present final draft of proposed rules, (T=05/07). New T for publication of Notice of Proposed Rulemaking is June, 2007. Deliverables #1(k); new target date of July, 2007. Deliverable #1(l); new target date of October, 2007. Deliverable #1(m); new target date of December, 2007. Deliverables #2(a-c) is off target. Proposed narrative standards and IP documents completed for #2(a, b and c). Adoption of narrative standards and IPs delayed due to delays in triennial review rulemaking process. New target date is December, 2007. Deliverables #3(a-d) are off target, IP documents for 3)a-d) complete; adoption as substantive policy documents will be delayed until after triennial review public participation process is complete, new target is December, 2007. Deliverable #4 is off target initial-draft completed. Deliverables #5(af) is off target; draft IWIR rules still under management revision. Deliverable #5(a) new target date of May, 2007. Deliverable #5(b); new target date of June, 2007. Deliverable #5(c); new target date of August, 2007. Deliverable #5(d); new target date of September, 2007. Deliverable #5(e-f); new target date of December, 2007. Deliverable #6 is on target.			

FY07 3rd QUARTER EXCEPTION REPORT

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PPG	1.00	4,532
ST 319(H) NPS IMPLM. VIII	7.00	25,190
WQARF	3.00	9,597
WQARF PPG	6.00	27,194
PPG	17.00	64,963
319(H) IMPLEMENTATION VIII	4.00	12,796
TOTAL	38.00	144,272

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.6	TASK: Ambient Monitoring Program Ambient monitoring program, which includes rivers and streams, lakes and reservoirs, groundwater, and fish tissue and sediment sampling for priority pollutants. DELIVERABLES:		
PPG	1) Conduct ambient stream monitoring per FY 07 sampling and analysis plan. Monitoring to include long-term FSN sites and basin characterization sites in Little Colorado River and Lower Gila River basins and planning list sites in support of 305(b) assessment process. a) Complete 1 st quarter sampling b) Complete 2 nd quarter sampling c) Complete 3 rd quarter sampling d) Complete 4 th quarter sampling	T = a) 09/06 b) 12/06 c) 03/07 d) 06/07 A = 11/06 A = 3/07	Surface Water/SRO
	2) Prepare FY 08 sampling and analysis plan for rivers and streams a) Review and select sites for FY 08 Sampling and Analysis Plan (SAP). b) Develop FY 08 SAP. c) Submit FY 08 SAP to EPA.	T = a) 03/07 b) 05/07 c) 06/07 A = 3/07	Surface Water
	3) Conduct ambient lake monitoring per FY 07 sampling and analysis plan. Monitoring to include watershed characterization (Little Colorado River and Lower Gila River basins) and planning list sites in support of 305(b) water quality assessment process. a) Complete 1 st quarter sampling b) Complete 2 nd quarter sampling c) Complete 3 rd quarter sampling d) Complete 4 th quarter sampling	T = a) 09/06 b) 12/06 c) 03/07 d) 06/07 A = 12/06 A = 3/07	Surface Water
NPS VIII	4) Prepare FY 08 sampling and analysis plan for lakes and reservoirs a) Review and select sites for FY 08 Sampling and Analysis Plan (SAP). b) Develop FY 08 SAP. c) Submit FY 08 SAP to EPA.	T = a) 03/07 b) 05/07 c) 06/07 A = 3/07	Surface Water
PPG 106 Monit	5) Implement Comprehensive Statewide Monitoring Strategy.	T = 06/07	Surface Water

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.6	TASK: Ambient Monitoring Program (Cont'd) DELIVERABLES:		
NPS VII	6) Conduct fish tissue and sediment sampling program on Arizona lakes and reservoirs for presence of mercury, pesticides or other PBTs to support TMDL and fish consumption advisory programs. a) Identify lakes for fish tissue sampling in FY 07. b) Complete sampling and analysis plan. c) Collect fish tissue samples in accordance with SAP. d) Work with AGFD and ADHS on preparation of fish consumption advisories. e) Work with TMDL and Clean Lakes Programs in interpretation and use of tissue results.	T = a) 06/06 b) 07/06 c) 06/07 d) as needed e) as needed A = 1/07	Surface Water
	7) Manage Phase I REMAP contract: AGFD/USGS to develop perennial streams GIS cover.	T = 12/06	Surface Water
EXCEPTION REPORT COMMENTS			
(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR: Deliverables #1-#5; are all on target. Deliverable #6(a-c); Off-target – Sampling and analysis plan delayed by reorganization of Monitoring Unit and the hiring of a new unit manager and new field monitoring staff. Deliverable #7; On-target – USGS provided perennial streams GIS cover for Colorado Plateau hydrographic province in August, 2006. On-target to finish statewide perennial streams GIS cover by December, 2006.			
2ND QTR: Deliverables #1(a) off target; Monitoring Unit was re-staffed and undergoing training during the 1 st quarter. Deliverable #1(b); completed November 16 th , 2006. Deliverable #1(c-d); ongoing. Deliverable #2(a-c); ongoing. Deliverable #3(a); off-target; Monitoring Unit was re-staffed and undergoing training during the 1 st quarter. Deliverable #3(b); completed December, 2006. Deliverable #3(c and d); ongoing. Deliverable #4 and 5; on target. Deliverable #6(a and b); off-target due to new staffing and training efforts; SAP currently being finalized. Deliverable #6(c-e); ongoing. Deliverable #7; on target.			
3RD QTR: Del. 1a) and 3a): First quarter sampling was not completed due to lack of trained monitoring staff in early FY '07. Del. 6b) is off target; SAP currently being finalized. Del. #7 is off target; questionnaire process took longer than expected. Also off target due to initial grant delays.			

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.6	TASK: Ambient Monitoring Program (Cont'd) DELIVERABLES:		

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	5.00	17,993
GF (SRO)	12.00	47,617
ST 319(H) NPS IMPLEM. VIII	18.00	64,784
WQARF	30.00	98,170
WQARF 319(H) NPS IMPLEM. VII	6.00	19,531
WQARF 319(H) NPS IMPLEM. VIII	15.00	58,453
PPG	5.50	19,741
319(H) NPS IMPLEMEN. VII	6.00	23,173
319(H) NPS IMPLEMEN. VIII	9.50	29,742
106 Monitoring	36.0	137,967
TOTAL	143.00	517,171

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.7	TASK: Water Quality Assessment Development of water quality assessment documents (e.g. 305(b), 205(j) and processes). DELIVERABLES:		
NPS VI / NPS VIII	1) Develop Phase II of Arizona assessment calculator.	T = 09/06 A = 9/06	Surface Water
PPG	2) Develop Arizona’s Integrated 305(b) Assessment and 303(d) Listing report. a) Draft report. b) Final report. c) Submit to EPA.	T = A = a) 06/06 A = 6/06 b) 09/06 A = 3/07 c) 10/06	Surface Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR: Deliverable #1; On-target – Phase II Assessment Calculator Scope of Work completed. Deliverable #2(b); Final report currently undergoing management review. Deliverable #2(c); On-target.			
2ND QTR: Deliverable #1; on target – Phase II Assessment Calculator Scope of Work completed. Deliverable #2(b); final report currently undergoing management review. Deliverable #2(c); off-target final expected to EPA February, 2007.			
3RD QTR: Deliverable #1 is on target. Deliverable #2(b); 305 9b) assessment report and 303(d) list published on website March 2007. Public comment period closed on March 30, 2007. Deliverable 2c) is off target: draft was given to EPA in 3/07; currently responding to public comments and EPA comments and finalizing documents.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST PPG	5.84	19,525
WQARF PPG	6.16	26,625
PPG	22.00	79,155
319(H) NPS IMPLEMEN. VI	5.00	15,995
319(H) NPS IMPLEMEN. VIII	3.00	9,597
TOTAL	42.00	150,897

FY07 3rd QUARTER EXCEPTION REPORT

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FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.8	TASK: TMDL Analyses (Cont'd) DELIVERABLES:		
Queen Creek	2) 104(b)3 Grants a) FY05/06 – Queen Creek headwaters to Superior WWTP (Cu) and Superior WWTP to Potts Canyon (Cu) i) stakeholder involvement ii) conduct sediment and surface water sampling iii) begin internal modeling process iv) data summary public meeting v) modeling report public meeting vi) submit Modeling Report to EPA	T = i) ongoing ii) through 02/07 iii) 10/06 A = 10/06 iv) 02/07 A = 01/07 v) 05/07 vi) 06/07	Surface Water
Lake Mary	b) Lake Mary Regional TMDLs i) final public meeting ii) submit Final Report to EPA for approval c) Alamo Lake (Hg) i) Revise TMDL to include Phelps Dodge WLAs ii) Open public comment period iii) Resubmit TMDL to EPA for approval	i) 12/06 i) 2/07 i) 9/06 A = 12/06 ii) 11/06 iii) 1/07	
NPS VIII	3) TMDL projects in monitoring phase a) Slide Rock State Park pathogen TMDL phase II (<i>E. coli</i>) i) stakeholder coordination ii) conduct water quality monitoring iii) optical brightener study iv) data analysis v) draft TMDL Report b) San Pedro – Mexico border to Charleston (Cu) i) stakeholder involvement ii) conduct sediment and water quality sampling c) San Pedro – Babocomari Creek to Dragoon Wash (<i>E. coli</i>) i) stakeholder involvement ii) conduct sediment and water quality sampling d) Parker Canyon Lake (Hg) i) stakeholder involvement ii) conduct watershed sediment and water quality sampling iii) conduct in lake water quality sampling	T = i) ongoing ii) through 03/07 iii) through 03/07 iv) 04/07 v) 06/07 i) ongoing ii) through FY i) ongoing ii) through FY i) ongoing ii) through FY iii) through FY	Surface Water

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.8	TASK: TMDL Analyses (Cont'd) DELIVERABLES:		
PPG	4) Pre-TMDL activities for: a) San Pedro – Aravaipa Creek to Gila River (<i>E. coli</i> , Se) i) perform reconnaissance and historic data review ii) develop SAP iii) perform water quality sampling b) Santa Cruz River – Mexico Border to Nogales (<i>E. coli</i>) i) perform reconnaissance and historic data review ii) develop SAP iii) perform water quality sampling c) Gila River – Bonita Creek to Yuma Wash (<i>E. coli</i>) i) perform reconnaissance and historic data review ii) develop SAP iii) perform water quality sampling d) Gila River – Skully Creek to San Francisco River (Se) i) perform reconnaissance and historic data review ii) develop SAP iii) perform water quality sampling e) Lyman Lake (Hg) i) draft TMDL SAP ii) perform water quality sampling f) Watson Lake (nitrogen, low DO, high pH) i) perform reconnaissance and historic data review ii) develop SAP iii) perform water quality sampling g) Crescent Lake (high pH) i) perform reconnaissance and historic data review ii) develop SAP iii) perform water quality sampling	T = i) 10/06 A = 10/06 ii) 12/06 A = 12/06 iii) through FY i) 12/06 ii) 2/07 iii through FY i) 8/06 A =10/06 ii) 10/06 A = 11/06 iii) through FY i) 8/06 A = 10/06 ii) 10/06 A = 11/06 iii through FY i) 12/06 ii through FY i) 12/06 A = 12/06 ii) 2/07 iii through FY i) 2/07 A = 04/07 ii) 4/07 iii through FY	Surface Water

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.8	TASK: TMDL Analyses (Cont'd) DELIVERABLES:		
	h) LCR – Silver Creek to Carr Wash (<i>E. coli</i>) i) draft TMDL SAP ii) perform water quality sampling i) East Verde River – American Gulch to Verde River (As, B) i) perform reconnaissance and historic data review ii) develop SAP iii) perform water quality sampling	i) 12/06 A = 12/06 ii) through FY i) 1/07 ii) 3/07 iii) through FY	
	5) Long-term TMDL projects a) Little Colorado River – Porter Tank to McDonalds Wash (Cu, Ag, SSC) i) update TMDL SAP to include SSC ii) perform water quality sampling b) Verde River – headwaters to Horseshoe Reservoir (N, P) i) receive USGS GW/SW report ii) research data collected and studies completed since completion of assimilative capacity study iii) develop workplan to update assimilative capacity study, if data available. c) Gila pesticide investigation – numerous segments (numerous parameters) i) perform workplan activities d) Mercury – Air equipment monitoring support i) maintain Sycamore Canyon MDN site ii) deploy mobile TEKRA unit across state.	T = i) 12/06 A = 12/06 ii) through FY i) 10/06 A = 11/06 ii) 12/06 iii) 2/07 i) through FY i) weekly ii) ongoing/as available	Surface Water

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.8	TASK: TMDL Analyses (Cont'd) DELIVERABLES:		
	6) Targeted monitoring activities a) Beaver Creek Turbidity/SSC Project i) install monitoring equipment ii) perform targeted monitoring b) Hassayampa River targeted monitoring (Cd, Cu, pH, Zn) i) collect spring snowmelt samples c) Lynx Creek Area targeted monitoring (core parameters) i) core parameter sampling d) Sonoita Creek – 750 ft. below Patagonia WWTP to Santa Cruz River (Zn) i) targeted sampling to determine if impairment results solely from Alum gulch input e) Cave Creek – headwaters to South Fork Cave Creek (Se) i) collect samples to support future delisting	T = i) 10/06 ii) through FY i) Spring 07 i) through FY i) through FY i) Spring 07	Surface Water
	7) Hold teleconferences with EPA Region IX TMDL staff.	T = Monthly	Surface Water
	8) Continue inter-agency and inter-state TMDL project and targeted monitoring coordination. Coordinate activities with surrounding states, National Forest Service, and Bureau of Land Management to identify where scheduled stream remediation activities can be tied to impaired waterbodies and/or Planning List (Part 3) waterbodies.	T = Ongoing	Surface Water

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #3: Reduce pollutant loading to surface water.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.8	TASK: TMDL Analyses (Cont'd) DELIVERABLES:		
EXCEPTION REPORT COMMENTS			
(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR:			
Deliverable #1; on target. Deliverables #2(a)(iii-iv); Off-target – we will be developing the model internally – no outside contractor will be hired. Expect final completion to be on target. Deliverable #3(a)(i); ongoing. Deliverables #3(a)(ii-iv); Off-target – effects of wildfire in watershed will require further sampling. Deliverables #3(a)(v-vi); on target. Deliverables #3(b-d); are on target. Deliverable #3(d)(i-iv); Off-target – preliminary and recent ambient results indicate that Se is no longer impairing Cave Creek – follow up targeted monitoring over the next two years will be scheduled. Deliverables #3(e)(i-iii); are on target. Deliverable #3(f)(i-ii); Off-target – Lake will be delisted as part of 2006 assessment. Deliverable #4(a-c); on target. Deliverable #4(d)(i); Off-target – new lakes staff will assume project management. Deliverable #4(e); on target. Deliverable #4(f)(i-iv); Off-target – Lake will not be listed as impaired in 2006 assessment – more fish sampling is needed. Deliverable #5(a); on target. Deliverable #5(b)(i-ii); Off-target – new staff has been assigned project. Deliverable #5(b)(iii); on target. Deliverable #5(c-e); on target. Deliverables #6-#11; on target.			
2ND QTR:			
Deliverable #1(ai); ongoing. Deliverable #1(aii); off-target delay in modeling. Deliverable #1(aiii – v); off-target due to a delayed triennial review; expect a 3-month delay. Deliverable #1(bi-bvi); off-target due to lack of precipitation. Deliverable #1(c); completed. Deliverable #2: workplan revision in progress. Deliverable #2(bi); off target due to change in staff. Deliverable #2(cii and iii); off-target due to internal review expect public comment period to being February, 2007. Deliverables #3 on target; workplan revision in progress. Deliverable #4(a) on target; workplan revision in progress. Deliverable #4(bi): off target due to arrival of new staff; workplan revision in progress. Deliverable #4(c-d): on target; workplan revision in progress. Deliverable #4(di): off target; new staff. Deliverable #4(e) on target; Deliverables 4 (f): see workplan revisions in progress. Due to arrival of new staff, deliverables #5(ai) and #5(bi) were delayed, but completed. Deliverable #5(bii) off target due to arrival of new staff. Deliverable 5(biii) off target; expect completion by 2/07 if data available. All other deliverables under #5 are on target. Deliverable #6(ai); off target determining site suitability. Deliverables #6(b-c) on target; workplan revisions in progress. Deliverables #7-8; ongoing. Deliverables #9-11: workplan revisions in progress.			
3RD QTR:			
Deliverable #1(ai-av) is off target due to delay in Triennial Review process. Deliverable #1(bi-bvi) is off target due to lack of rain. Deliverable #1(c) is completed. Deliverable#2(bi-ii) is off target due to model rerun expected by December, 2007. Deliverable #2(cii-iii) is off target involved with AZPDES issues. Deliverable #3(ai-v) is off target delayed due to forest fire. Deliverable #2(b-d) is on target. Deliverable #4(ai-iii) is on target. Deliverable #4(bi) is off target expected April, 2007. Deliverable #4(bii) is off target expected May 2007. Deliverable #4(c-d) is on target or complete. Deliverable 4e) i) is off target due to change in staff. Deliverable #4(fii) is off target expected by June, 2007. Deliverable #4(gi); completed April 2007. Deliverable #4(gii) is off target expected by June, 2007. Deliverable #4h) i-ii) is on target. Deliverable #4i) is off target; staff currently performing research and recon. Start up delayed while other projects are completed. Deliverable #5b) ii & iii are off target; staff assigned to other projects. Deliverable #6a) is off target; site suitability has not been determined. 6 (b-e) is on target. Deliverable #7-#8 are on target.			

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.8	TASK: TMDL Analyses (Cont'd) DELIVERABLES:		

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF	1.30	7,174
ST 319(H) NPS IMPLEMEN. VII	2.00	7,584
ST 319(H) NPS IMPLEMEN. VIII	19.00	71,644
WQARF 319(H) NPS IMPLEN. VII	6.00	19,531
PPG	29.00	94,113
319(H) NPS IMPLEMEN. VI	9.50	30,390
319(H) NPS IMPLEMEN. VII	18.00	62,817
319(H) NPS IMPLEMEN. VIII	23.00	87,232
Contract: Queen Creek Grant		
Contract: Lake Mary Mercury TMDL		
TOTAL	107.80	380,485

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.9	TASK: TMDL Implementation Plan Develop TMDL implementation plans in support of approved TMDL projects. DELIVERABLES:		
NPS VI/ NPS VIII	1) Plan and lead TMDL public participation and develop TMDL implementation plans for: a) Pinto Creek TMDL i) draft TMDL implementation plan ii) public notice/comment iii) final TMDL implementation plan to EPA for review b) Queen Creek TMDL i) draft TMDL implementation plan c) Mule Gulch TMDL i) draft TMDL implementation plan d) Tonto Creek i) draft TMDL implementation plan ii) public notice/comment iii) final TMDL implementation plan e) Alamo Lake i) draft TMDL implementation plan ii) public notice/comment iii) final TMDL implementation plan f) Alum Gulch i) draft TMDL implementation plan ii) public notice/comment iii) final TMDL implementation plan g) Lake Mary Region i) draft TMDL implementation plan ii) public notice/comment iii) final TMDL implementation plan h) Lakeside Lake i) draft TMDL implementation plan ii) public notice/comment iii) final TMDL implementation plan i) French Gulch i) draft TMDL implementation plan ii) public notice/comment	T = i) 4/07 ii) 5/07 iii) 6/07 i) 6/07 i) 6/07 i) 10/06 A = 11/06 ii) 12/06 A = 2/07 iii) 1/07 i) 1/07 ii) 3/07 iii) 4/07 i) 12/06 A = 12/06 ii) 1/07 A = 04/07 iii) 2/07 i) 2/07 ii) 3/07 iii) 4/07 i) 1/07 A = 03/07 ii) 2/07 iii) 3/07 i) 3/07 ii) 4/07	Surface Water
PPG	2) Coordinate with federal land management agencies on 303(d) listed waters.	T = Ongoing	Surface Water

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.9	TASK: TMDL Implementation Plan (Cont'd) DELIVERABLES:		
PPG	3) Attend watershed/stakeholder meetings.	T = ongoing	Surface Water
PPG	4) Continue coordinating implementation efforts on impaired waters with TMDL implementation plans.	T = ongoing	Surface Water
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.			
1ST QTR: All deliverables are on target.			
2ND QTR: Deliverables #1(a-c); are on target; workplan revisions in progress. Deliverables #1(f-i); are all on target. Deliverable #2-4 is ongoing.			
3RD QTR: Deliverable #1(ai-iii) is off target due to Triennial Process. Deliverable #1(b-c) is ongoing. Deliverable #1(dii); completed February, 2007. Deliverable #1(diii) is off target, reviewing public comments expected May 2007. Deliverable #1(ei-iii) is off target waiting for TMDL to be finalized. Deliverable #1(fii); completed April 2007. Deliverable #1(fiii) is off target expected June 2007. Deliverable #1(gi-iii) is off target waiting for TMDL. Deliverable #1(hi); completed March 2007. Deliverable #1h) (ii-iii) is off target due to internal review of document. Deliverable #1i) (i-ii) is off target due to transfer of staff to other projects. Deliverables #2-#4 are ongoing.			

FTE FUNDING SOURCE	MONTHS	AMOUNT
ST 319(H) NPS IMPLEMEN. VII	2.50	9,480
ST 319(H) NPS IMPLEMEN. VIII	2.00	8,475
PPG	2.00	5,539
319(H) NPS IMPLEMEN. VI	12.00	38,388
319(H) NPS IMPLEMEN. VIII	4.00	15,168
TOTAL	22.50	77,050

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.10	TASK: NPS Base Program Management Plan, manage and implement a Nonpoint Source Pollution Program, including the development of watershed management and watershed-based plans. DELIVERABLES:		
NPS VII	1) Watershed-based plans. Oversee UA contract to develop watershed-based plans, incorporating EPA's nine key elements for: a) Little Colorado River b) Aqua Fria San Pedro	T = a) 02/07 A = 2/07 b) 02/07 A = 2/07 c) 02/07 A = 2/07	Planning
NPS VII	2) Provide technical support to community-based watershed groups to address NPS pollutant impacts.	T = As needed	Planning
NPS VI	3) Provide oversight of existing MOUs with USFS, BLM, ADWR, AGFD, and various tribes. Update agreements as needed.	T = Annual meetings (as required)	Planning
NPS VII	4) Submit annual NPS report in accordance with EPA's annual reporting requirements outlined in <i>NPS Program and Grants Guidelines for States & Territories</i> .	T = 09/06 A = 12/06	Planning
NPS VI	5) Attend national nonpoint source annual meeting.	T = 06/07	Planning
PPG	6) Conduct education/outreach efforts to increase public awareness of NPS impacts to surface and groundwater resources. a) Provide oversight on 319(h) funded NPS education and outreach contracts. b) Support requests from the community and local schools for water education.	T = Ongoing	Planning
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: Deliverable #4 is off target due to lack of staff, completion will be during 2 ND Quarter of FY07. All other deliverables on target. 2ND QTR: Del. #1: Final draft of watershed-based plans expected March 2007. Del. #3: USFS/BLM annual meeting scheduled for Feb. 28, 2007. Del #4: NPS annual report completed and in communications for proofing. All other deliverables on target. 3RD QTR: Del #1 is complete. All other deliverables are on target.			

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.10	TASK: NPS Base Program Management (Cont'd) DELIVERABLES:		

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG	6.00	19,536
319(H) NPS IMPLEMEN. VI (SW)	12.00	45,142
319(H) NPS IMPLEMEN. VII (SW)	5.00	15,995
TOTAL	23.00	80,673

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.11	TASK: 319(h) Project Management ADEQ will ensure that Federal dollars available for Section 319(h) projects will be targeted to water quality impairments resulting from NPS pollution. Educational opportunities, such as workshops and training, will continue to be pursued. DELIVERABLES:		
NPS VII	1) Solicit, evaluate and select 319 grant applications. a) Develop and conduct grant workshops. b) Target watersheds and/or nonpoint sectors (e.g. impaired watersheds, watersheds with TMDL implementation plans, watershed based plans). c) Develop and execute 319(h) grant agreements..	T = 1 (Annual) a) To be determined* b) Ongoing c) To be determined*	Planning
NPS VII	2) Provide oversight on 319(h) funded implementation projects for correction of NPS impairments.	T = Ongoing	Planning
NPS VIII	3) Maintain and update library of final 319(h) project reports.	T = Ongoing	Planning
NPS VIII	4) Report project activities and input load reduction information into GRTS database.	T = Ongoing	Planning
NPS VIII	5) Attend annual GRTS meeting and regional GRTS training.	T = 06/07 A = 10/06	Planning
PPG	6) Coordinate annual meeting to set internal goals for 319(h) projects. Provide report to EPA.	T = Annually	Planning
NPS VII	7) Coordinate and conduct grant award meetings to assure compliance with state and federal guidelines.	T = Ongoing	Planning
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why. 1ST QTR: All deliverables are on target. 2ND QTR: Del. #1c: Target set for 4 th Quarter, 2007. All other deliverables on target. 3RD QTR: Del. #1a: Grant workshops were conducted Sept. 18, '06 through Oct. 27, '06 and are ongoing. Del. #1c scheduled for May '07. All other deliverables are on target.			

*Grant schedule under development.

FY07 3rd QUARTER EXCEPTION REPORT

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG (SW)	6.00	19,536
319(H) NPS IMPLEMEN. VII (SW)	7.00	23,076
319(H) NPS IMPLEMEN. VIII	12.00	39,071
TOTAL	25.00	81,683

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.12	TASK: REMAP Development of an Arizona perennial streams map, comparative study of EMAP and ADEQ biological monitoring and assessment methods, and development of an example EMAP-style 305(b) watershed assessment. DELIVERABLES:		
REMAP	1) Phase I: Develop/update AZ perennial stream map. a) Draft GIS map and database for Colorado Plateau hydrophysiographic province. b) Draft statewide GIS map and database for all 3 hydrophysiographic provinces. c) Conduct surveys of land and resource managers and load data into Flow Status Database. d) Finalize GIS map and database e) Annual progress report to USEPA	a) T = 7/06 A = 8/15/06 b) T = 9/06 A = 12/06 c) T = 10/06 d) T = 12/06 e) T = 10/06 A = 03/30/07	Surface Water
REMAP	2) Phase II: Conduct probabilistic monitoring of streams in the Little Colorado R. basin using ADEQ and REMAP methods. a) USEPA random site selection b) ADEQ site review/reconnaissance c) EMAP methods training for ADEQ, AGFD and USGS staff. d) EMAP and ADEQ biological monitoring of 35 sites in the Little Colorado R. basin e) Data report submitted to USEPA f) Annual progress report to USEPA	a) T = 8/06 A = 9/07/06 b) T = 9/06 A = 10/06 c) T = 3/07 A = 03/07 d) T = 6/07 e) T = 9/07 f) T = 10/07 A = 03/31/07	Surface Water

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #3: Reduce pollutant loading to surface water.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.3.12	TASK: REMAP (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)</p> <p>1ST QTR: Deliverable #1(b); Off-target – USGS has not completed statewide GIS Cover. New T = November, 2006. Deliverable #1(c); Off-target – USGS has not initiated survey of public land managers as of October 1, 2006. May be one month behind schedule. Deliverable #2(a); is on target. Deliverable #2(b); is off-target – currently undergoing site recon. Deliverable 1(d)-(e); On-target. Deliverable #2(c) – 2(f); On-target.</p> <p>2ND QTR: Deliverable #1(b); off-target but completed in December, 2006. Deliverable #1(c); off target – questionnaire process is underway and should be completed by January 31, 2007. Deliverable #1(d) is off-target; USGS technical work and modeling with USGS data - the questionnaire process and development of the perennial streams map have been delayed this fall. The final map and database deliverables are expected by Feb. 2007. Deliverable #1(e); off-target – the annual progress report was not prepared in October due to intensive fieldwork in October and November 2006. It is being prepared and will be sent in January, 2007. Deliverable #2(b) off-target – site reconnaissance was conducted in October 2006 due to a late start on beginning grant work associated with delayed map products from USGS and needing more time than anticipated to conduct office and field reconnaissance. All other deliverables are on target.</p> <p>3RD QTR: Deliverable #1(c-d) are off target; USGS has not completed survey of land and resource managers. For this reason USGS has not been able to finalize GIS map and database. This item is now 3 months off-target completion date. Deliverable #2(c); EMAP methods training conducted March 19-23, 2007. All other deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
REMAP Contract: REMAP	12.00	36,693

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.1	TASK: Division & Section Management Manage Water Quality Division and Section programs. Perform managerial, administrative, database development and other program duties. Advise management team and provide guidance and training to staff. Design and maintain security and business continuity plans. Provide staff support and communications to public interest/stakeholder involvement efforts. Provide program oversight and guidance to regional staff performing tasks under the integrated workplan DELIVERABLES:		
PPG	1) Reports: a) Section FY07 Exception Report to WQ Planning Section. Due 15 days after end of the 1 st , 2 nd , and 3 rd state FY quarters. b) Section FY06 annual Output Report to WQ Planning. Section. Due 15 days after end of fiscal year. c) Section Performance Measures Report to WQ Planning Section. Due 11 days after end of quarter.	T = a) 10/15/06 A = 10/15/06 01/15/07 A = 1/15/07 04/15/07 A = 4/15/07 b) 07/15/06 A = 7/15/06 c) Quarterly	All Sections
PPG	2) EPA/ADEQ grant meetings: a) End of year review for FY 2006 b) Mid-year review for FY 2007 c) EPA negotiation meetings for FY 2008	T = a) 09/06 A = 10/06 b) 02/07 A = 2/07 c) 03/07 A = 3/07	All sections
	3) Conduct stakeholder meetings to resolve issues regarding border wastewater treatment and other high profile public interest issues.	T = As needed	Director

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.1	TASK: Division & Section Management (Cont'd) DELIVERABLES:		
PPG	4) Support studies on water quality improvements under the Technology and Research Initiative Fund (TRIF) grant program administered by the University of Arizona.	T = 7/30/07*	Director
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR: All deliverables are on target. Del. #3: Two Nogales tech committee meetings were held – 7/12/06 & 8/03/06			
2ND QTR: All deliverables are on target.			
3RD QTR: All deliverables are on target. Del. 3: Partnering meeting with the construction management firm on January 17, 2007 and Nogales technical meeting on February 15, 2007.			

*Completion dates to be determined.

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF (UW)	33.00	181,553
GF (SW)	23.20	125,989
GF (DW)	13.00	72,483
GF-APP (Special)	10.56	35,466
ST PPG (UW)	1.00	5,807
ST PPG (SW)	4.00	12,461
ST PPG (DW)	1.00	5,807
PPG (UW)	6.00	23,705
PPG (SW)	6.00	23,705
PPG (DW)	6.84	27,937
PPG (Agency Directors Office)	3.00	23,391
WQFF	7.44	16,936
ST PWSS	13.16	36,262
ST 319(H) NPS IMPLEMEN. VIII (SW)	11.00	50,210
PPG (SW)	6.00	13,428
TOTAL	145.20	655,140

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.2	TASK: Water Quality Planning Coordinate the development and amendment of annual water quality workplans and grants. Plan, control and monitor expenditures of manpower and state/federal financial resources. Manage business functions, including Division contracting activities. Manage Nonpoint Source Projects (Water Quality Improvement Grant) program DELIVERABLES:		
PPG	1) Develop annual FY 08 Integrated Water Quality Division and SRF Set-Aside workplan and submit to EPA.	T = Draft 03/07 A = 3/07 Final 06/07 6/07	Planning
PPG	1) Coordinate development of performance reports a) FY 07 Exception report to EPA quarterly: b) FY 06 Output report to EPA annually: c) Strategic Performance Measures quarterly: d) Annual report for ADEQ set-asides (Submit to WIFA and EPA.)	T= a) 11/06; 02/07; 05/07 A= 11/06; 2/07; 5/07 b) 08/06 A = 9/06 c) 08/06; 11/06; 02/07; 05/07 A = 8/06; 12/06; d) 08/06 A = 10/06	Planning
	2) WQ Planning documents a) Develop budget and workplan books for FY 07 start-up. b) Update budget books quarterly and distribute internally. c) Spreadsheets for FY 08 startup.	T = a) 07/06 A = 7/06 b) Quarterly c) 05/07	Planning
	3) Coordinate with EPA the destruction of the official Construction Grant files as they are returned from State Retention Center.	T = As required	Planning

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.2	TASK: Water Quality Planning (Cont'd) DELIVERABLES:		
PPG	4) Report number of cumulative federal grant actions: a) Grant applications/awards: i) New ii) Supplemental funding iii) Awards received b) Change Letters i) Time extensions ii) Rebudgets i) Workplan revisions	T = Quarterly	Planning
	6) Report competitive grant actions a) Grants funding researched i) Proposals submitted ii) Proposals requested	T = Quarterly	Planning
	7) Report other State/Federal planning activities a) Other actions i) Other documents ii) Federal Register notifications iii) Federal/State budgets load iv) State/Federal projections v) Exception Reports and Output Report vi) Performance Measures Reports vii) Special projects viii) Contract actions ix) Workplan/Spreadsheet changes	T = Quarterly	Planning
PPG	8) Develop/modify Accord Agreement.	T = 05/07 (As needed)	Planning
	9) Prepare WQD Master Profiles for FY 2008.	T = 06/07	Planning
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR: All deliverables are on target. Del. 2d) completed; will provide completion date at second quarter.			
2ND QTR: All deliverables are on target.			
3RD QTR: All deliverables are on target.			

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.2	TASK: Water Quality Planning (Cont'd) DELIVERABLES:		

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF (UW)	15.00	49,246
GF (SW)	15.00	49,246
GF (DW)	19.00	69,385
PPG (UW)	20.00	70,614
PPG (SW)	20.00	70,614
PPG (DW)	20.00	70,614
TOTAL	109.00	379,719

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #'s: 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.3	TASK: Water Quality Database Development, Implementation & Management Develop and manage the Water Quality Database (WQDB) Oracle system and other program specific applications to support data storage, access, retrievals, analysis (e.g. hydrologic modeling, GIS, statistical), integration and sharing. DELIVERABLES:		
	1) Provide GPS training.	T = As needed	Data Mgmt.
	2) Validation of GPS data.	T = 500 data points/yr	Data Mgmt.
	3) Continue to develop necessary interfaces to accept data submittal from outside agencies, volunteer monitoring groups, SRP, USGS and load directly into surface water and groundwater database.	T = 6/30/07	Data Mgmt.
	4) Continue analyses, design, programming and implementation steps to link LTF with Water Permits & Certifications including Engineering Review and AZPDES modules. This work supports agency data integration goals.	T = 6/30/07	Data Mgmt.
	5) Database applications training and user documentation.	T = As needed	Data Mgmt.
	6) GIS projects in coordination with water programs, waste programs, ITS and GIS Technical Committee. Projects include watershed and water quality assessments, WQARF sites, AZ Mapper (Desktop GIS tool) maintenance, WQARF, wellhead protection and special technical studies and modeling/simulations (e.g. Drought Task Force).	T = As needed	Data Mgmt.

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #'s: 4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.3	TASK: Water Quality Database Development, Implementation & Management (Cont'd) DELIVERABLES:		
PPG	7) Conduct analysis, design, programming, and implementation steps to meet new requirements for WCET database system, including uploads of minor facility data to PCS, enhancements to system needed to support compliance improvement initiative, and meeting future EPA ICIS requirements.	T = 6/30/07	Data Mgmt. (lead) Compliance
	8) Conduct analysis, design, programming, and implementation steps to meet new requirements for Permits and Certifications database system. To be done in conjunction with the Groundwater and Surface Water Sections.	T = 6/30/07	Data Mgmt.
	9) Provide maintenance and development support for SDWIS/State database system. To be done in conjunction with the Drinking Water and Compliance Sections.* a) including xml data uploads b) migration to web-based SDWIS (SSWr1).	a) T = 9/30/06 A = 9/30/06 b) T = 9/30/06 A = 9/30/06	Data Mgmt.
	10) Develop Drinking Water database to allow tracking of Arizona public water systems that have completed Vulnerability Self Assessments and developed EMPs (SWWr1).	T = Ongoing	Data Mgmt.
PPG	11) Conduct analysis, design, programming, and implementation steps to meet new requirements for Groundwater and Surface Water Quality database system.	T = 06/07	Data Mgmt.
PPG	12) Provide technical support and serve as liaison with IBM and GITA for Smart NOI application maintenance and expansion on the Arizona At Your Service (AAYS) Web Portal, and coordinate on agency e-gov initiatives as needed. a) Smart NOIplus b) Septic NOT c) Other Permits	T = 12/30/06 a) 08/15/06 A = 10/12/06 b) 09/30/06 A = 1/25/07 c) As needed	Data Mgmt
	13) Conduct analysis, design, programming, and implementation steps to re-engineer the Engineering Review database system.	T = 6/30/07	Data Mgmt

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s:	4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.3	TASK: Water Quality Database Development, Implementation & Management (Cont'd) DELIVERABLES:		
	14) In coordination with ISDU, ASD, complete WQDB and WCET/Azurite Oracle 6i conversion to Oracle 10g. (Delayed by Agency in 2006.)	T = 6/30/07	Data Mgmt.
	15) Database oversight for external data entry and coordinate internal data entry efforts for surface water and groundwater systems. Tech support for WQARF and UST water quality data loading, and tech support for Water Quality Division use of Discoverer (Oracle-based data query tool).	T = Ongoing	Data Mgmt.
	16) In coordination with ISDU, develop data warehousing capability for Water Quality Division and Azurite systems to organize and consolidate data from many systems for reporting and analysis enhancements.	T = 06/30/07	Data Mgmt.
EXCEPTION REPORT COMMENTS (Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If “Off Target”, please explain why.			
1ST QTR: Del. # 12 b) Septic NOT project delayed, completion date to be determined. All deliverables are on target.			
2ND QTR: Del. #12 is ongoing through FY07; will change workplan target for FY08. Deliverable #12b is off target; completion date by 1/25/07. All other deliverables are on target.			
3RD QTR: Deliverable #4 & #7 are delayed; plan to consolidate tasks in FY08 workplan under project to progress towards meeting GIS requirements. Deliverable #16 is off target; complete in FY08. Deliverable #12b & c is complete. All other deliverables are on target.			

* NOTE: Contract to be funded by EPA in-kind PWSS Fed.

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #s:	4400: Underground Water Regulation 4500: Surface Water Regulation 4900: Drinking Water Regulation
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.3	TASK: Water Quality Database Development, Implementation & Management (Cont'd) DELIVERABLES:		

FTE FUNDING SOURCE	MONTHS	AMOUNT
GF (UW)	9.50	38,107
GF (SW)	15.20	58,770
GF (DW)	5.00	22,189
ST PPG (UW)	3.48	20,208
ST PWSS (DW)	12.00	52,593
AZPDES (SW)	6.00	23,514
GF DW ST PPG (DW)	12.00	42,774
WQFF	3.00	9,853
WQARF (UW)	7.00	32,026
WQARF (SW)	6.00	27,407
WQARF PPG (UW)	6.00	25,557
WQARF PPG (SW)	6.00	25,557
PPG-AZPDES (SW)	6.00	27,358
PPG (UW)	5.00	18,517
PPG (SW)	9.00	33,704
PPG (DW)	7.52	33,150
TOTAL	118.70	491,284

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.4	TASK: Border Strategy Continue implementation of the Border Strategy Plan by conducting border-wide water quality studies, identifying water quality transboundary issues, and coordinating with Mexican counterparts toward the resolution of respective issues. DELIVERABLES:		
PPG	1) Support the development of wastewater pretreatment programs in the Ambos Nogales border region. Specific activities include maintaining the Memorandum of Understanding (MOU) with COAPAES via additions and/or amendments as needed; arranging training on Pretreatment sampling for the staff of COAPAES (Nogales, Sonora); conducting QA/QC on collected samples, assist with source characterization of regulated contaminants entering the Nogales (Sonora) wastewater stream, and provide support in the development of a Policies, Procedures, and Enforcement Response Plan for the Nogales (Sonora) Pretreatment Program.	T = As needed	Border Team
PPG	2) Coordinate and chair meetings with binational stakeholders regarding issues and remedies to the water quality impairment of Nogales Wash in Ambos Nogales.	T = As needed	Border Team
PPG	3) Pursue a Memorandum of Understanding with La Comisión Nacional de Las Aguas (CNA) to pursue a joint water quality study for the Nogales Wash Watershed as it relates to historical contamination of chlorinated solvents.	T = As needed	Border Team
PPG	4) Implement Memorandum of Understanding with the University Sonora (UNISON) to pursue joint water quality projects on binational watersheds along the border.	T = As needed	Border Team

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water		Program #4500: Surface Water Regulation	
Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.			
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.4	TASK: Border Strategy (Cont'd) DELIVERABLES:		
PPG	5) Perform reconnaissance monitoring, hydrologic survey and assist in TMDL water quality investigations for sites located in the border region as assigned. Investigations may include the need to photodocument conditions, collect field notes, conduct water quality sampling and data management, and/or file preliminary reports on findings. In FY 06, special emphasis will be provided to TMDL activities in the San Pedro watershed on both the U.S. and Sonoran sides of the border (in support of Deliverables 1.3.16(3)(e) and (3)(f).	T = As needed	Border Team
PPG	6) Submit quarterly progress reports to the EPA project officer within 30 calendar days after the end of each Federal fiscal quarter. These reports should include a discussion of the activities conducted during the quarter and progress toward milestones, problems encountered and their resolution, and activities planned for the next quarter. In addition, quarterly reports should identify any special EPA assistance needed, as well as provide a financial accounting of costs incurred during the quarter and cumulative project costs by task. a) July 31, 2006 b) October 31, 2006 c) January 31, 2007 d) April 30, 2007	T = Quarterly	Border Team
PPG	7) Within 90 days of the end of the project, the recipient must submit a final report documenting project activities over the entire project period and the recipient’s achievements with respect to the project’s purposes and objectives	T = 09/06	Border Team

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #4: Administer comprehensive water quality program and planning process to provide long-term vision and resources for Water Quality Division Programs. Maximize e-government opportunities to enhance customer service and improve access to information.		Program #4500: Surface Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.4	TASK: Border Strategy (Cont'd) DELIVERABLES:		
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.)</p> <p>1ST QTR: All deliverables are on target.</p> <p>2ND QTR: All on target: Activities include: Submission of weekly reports summarizing all Border Team activities during the course of the quarter; engaged lawyers supporting Nogales Wastewater Utility (OOMAPAS) development of policies and procedures manual to include transcription and highlights of Sonoran State Law supporting pretreatment activities; exercising memorandum of understanding with CEA to support analyses of industrial outfall samples collected by OOMAPAS; developing modifications to Friends of the Santa Cruz Volunteer Monitoring sample plan for the Santa Cruz River, and review/assurance that respective data is being incorporated into the ADEQ Water Quality Database; coordinated meetings with CNA in support of the development of an MOU to support monitoring of well in the Nogales, Sonora; Developed background for EPA Border 2012 project regarding flood attenuation in Nogales Wash, and coordinated submission of respective proposal by Arizona State University; supported EPA Border 2012 project for biodiesel capacity building in Nogales, Sonora with the goal of creating economic incentives for the proper disposal of oil and grease currently impacting wastewater infrastructure and Nogales Wash. No demand realized for MOU with UNISON at this time given that historical data collected under that MOU is still being analyzed and shared in academic venues (University of Arizona) for input.</p> <p>3RD QTR: All on Target: Activities include: Submission of weekly reports summarizing all Border Team activities during the course of the quarter and compendium for all quarters submitted to EPA Liaison – Doug Liden; coordinated with Water Quality Division (Diana Marsh) in finalizing modifications to Friends of the Santa Cruz Volunteer Monitoring sample plan for the Santa Cruz River; developed presentation summarizing new FOSCR SAP as well as the latest (2006) 305(b) draft report for the Santa Cruz River (w/ presentation to be delivered at the FOSCR board meeting on April 19, 2007); maintained communication with OOMAPAS-NS in support of Pretreatment activities, and provided strategy to US-IBWC on engaging the new municipal administration regarding concerns related to Sonoran effluent (w/ strategy currently being pursued by US-IBWC); supported fieldtrips related to EPA Border 2012 project for biodiesel capacity building in Nogales, Sonora with translations and presentations; pursued photographic and topographic surveys of Nogales, Sonora with the goal of documenting specific causes and consequence of monsoonal flooding in Nogales, Sonora (w/ results presented to the Mayor of Nogales, Sonora as well as at a USGS conference); maintained communication with the CILA regarding the status of progress made by CNA(CONAGUA) relative to a binational memorandum of understanding (w/ update from CILA due on March 31, 2007); coordinated Nogales Wash Coordination Committee Meeting for Federal, County, State, and City stakeholders (w/ presentation delivered by the Border Team summarizing chlorine dosages and water quality of Nogales Wash from January, 2005 through the present).</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
PPG (Border Team)	12.00	49,277

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #4: Administer comprehensive water quality program.		Program #4400: Underground Water Regulation #4500 Surface Water Regulation #4900 Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.5	TASK: Operational Technical Assistance The ADEQ will assist individual drinking water and wastewater systems to improve day-to-day operations. Provide value engineering services to WIFA projects and engineering support to Arizona border communities and to delegated counties and cities statewide. Provide technical support to the Water Quality Division. DELIVERABLES:		
	1) Engineering oversight for Mexican border projects —coordination with Mexican local, state and federal authorities: a) Respond to transboundary uncontrolled water flows to determine the contamination status of the water, initiate or participate in communications with local agencies and implement response actions, if necessary. b) Liaison with the IBWC, local public health agencies, state agencies and federal agencies to plan, prepare and react appropriately when transboundary water flows occur. c) Coordinate with Mexican authorities for participation in Mexican water infrastructure projects that may effect Arizona.	T = As needed	Border Team
	2) Provide engineering services to WIFA: a) Provide project conceptual assessment for communities considering participation with WIFA. Initial site visits with project sponsors for engineering reconnaissance overview and draft feasibility assessment. b) Participate in consultant procurement including selection and contract negotiations. Provide a “third-party” opinion for consultant reviews. c) Track project progress and completion, construction outcomes and relate to design standards and regulatory issues. d) Provide regulatory guidance to WIFA project sponsors for APP and AZDPES requirements. e) Serve as a liaison for federal border water and wastewater grants.	T = As needed	Border Team

FY07 3rd QUARTER EXCEPTION REPORT

GOAL #1: Clean & Safe Water Objective #4: Administer comprehensive water quality program.		Program #4400: Underground Water Regulation #4500 Surface Water Regulation #4900 Drinking Water Regulation	
TASK/ GRANT	OUTPUT DESCRIPTION	EVALUATION, DATE OR QUANTITY T=TARGET A=ACTUAL	RESPONSIBLE SECTION/ STAFF
1.4.5	TASK: Operational Technical Assistance (Cont.) DELIVERABLES:		
	3) Assist Water Quality Division with engineering technical assistance, training and outreach. a) Source Water Protection liaison for SRO delegated counties' public water systems. Work with PWSSs, Pima DEQ, and local public health agencies for outreach and SWP planning. All Source Water Protection activities are coordinated with the Phoenix Office. b) Assist in training delegated counties and cities, regional office and Phoenix office staff on new permit requirements. c) Participate in the preparation of individual and general wastewater APP through review and guidance for engineering and hydrology aspects. Serve as a senior quality assurance quality control prior to the issuance of an APP. d) Assist in the development of statutes, rules and policy pertaining to water and wastewater permits.	T = As needed	Border Team
<p style="text-align: center;">EXCEPTION REPORT COMMENTS</p> <p>(Info Needed: On-Target, Off-Target, Completed or N/A (No Activity). If "Off Target", please explain why.</p> <p>1ST QTR: All deliverables are on target.</p> <p>2ND QTR: All deliverables are on target.</p> <p>3RD QTR: All deliverables are on target.</p>			

FTE FUNDING SOURCE	MONTHS	AMOUNT
PWSS - Fees	6.00	33,401
IGA – Clean Water	6.00	33,401
TOTAL	12.00	66,802